

Spatial and spectral mode mapping of a dielectric nanodot by broadband interferometric homodyne scanning near-field spectroscopy

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Abstract. We investigate the optical properties of nanostructures of antimony sulfide (Sb_2S_3), a direct-bandgap semiconductor material that has recently sparked considerable interest as a thin film solar cell absorber. Fabrication from a nanoparticle ink solution and two- and three-dimensional nanostructuring with pattern sizes down to 50 nm have recently been demonstrated. Insight into the yet unknown nanoscopic optical properties of these nanostructures is highly desired for their future applications in nanophotonics. We implement a spectrally broadband scattering-type near-field optical spectroscopy technique to study individual Sb_2S_3 nanodots with a 20-nm spatial resolution, covering the range from 700 to 900 nm. We show that in this below-bandgap range, the Sb_2S_3 nanostructures act as high-refractive-index, low-loss waveguides with mode profiles close to those of idealized cylindrical waveguides, despite a considerable structural disorder. In combination with their high above-bandgap absorption, this makes them promising candidates for applications as dielectric metamaterials, specifically for ultrafast photoswitching.

Keywords: near-field spectroscopy; scattering-type near-field optical microscopy; tip-modulation SNOM; dielectric nanostructure; thin film patterning.

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1 Introduction

Stibnite (antimony sulfide, Sb_2S_3) is currently gaining increasing attention as an emerging photovoltaic material.^{1,2} Considerable efforts are being undertaken to advance the fabrication and structural characterization^{2–5} of these materials in attempts to improve their photovoltaic performance.^{6–8} Annealing at moderate temperatures of typically above 250°C activates crystallization of the initially amorphous material and decreases the bandgap energy from 2.24 to 1.73 eV while maintaining a high above-bandgap absorption coefficient of $>5 \times 10^4 \text{ cm}^{-1}$.² This makes the semiconductor Sb_2S_3 a material which not only can be synthesized from earth-abundant and nontoxic constituents but is strongly absorbing across the entire visible spectrum. The combination of a direct bandgap and a high absorption coefficient

leads to considerable photoconductivity, enabling applications in optoelectronics.⁹ Power conversion efficiencies of up to 7.5% have been demonstrated for an Sb_2S_3 -sensitized heterojunction solar cell.⁷

For future applications, it is particularly beneficial that comparatively simple and cheap ink-based methods can be used to create Sb_2S_3 nanoparticles in large-area thin films.^{10,11} As recently demonstrated, nanopatterning via direct electron beam lithography (EBL) writing enables the creation of 2D and 3D nanometric structures of various shapes.⁹ The availability of easy structuring, together with the possibility of changing the refractive index on an ultrafast time scale by injecting free carriers, makes the material an interesting candidate for the realization of photoswitchable metasurfaces.¹² For this, a high optical quality of Sb_2S_3 nanostructures is an important prerequisite that has not yet been investigated. Since the structural characterization of the bulk material shows polycrystalline grains with typical sizes of tens of nm, and the optical spectra show

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a sizeable sub-bandgap absorption, this may indicate a high crystal defect density.² As such, a nanoscale optical characterization of individual nanoparticles seems particularly desirable.

For these reasons, we report here a first experimental study of the optical properties of Sb_2S_3 nanostructures. Specifically, we aim at probing sub-bandgap waveguiding in nanodots fabricated with EBL to explore their potential as dielectric metamaterials. For this, we spatially image the optical modes of such nanoparticles using a novel, spectrally broadband scattering-type scanning near-field optical microscopy (sSNOM)^{13–15} technique. Raster-scanning a sharp scattering or collecting tip that is brought into the sample's near field has in the past been applied to mapping the evanescent field distribution of a multitude of different samples, such as waveguides and microresonators,^{16,17} photonic crystal microcavities,¹⁸ single plasmonic nanoparticles,^{19–23} and, more recently, all-dielectric nanostructures.^{24,25} Ideally, the recorded intensity distribution provides a map of the local optical density of states (LDOS),^{21,26–31} with a spatial resolution of 20 nm or below.^{14,32–34} The expansion of sSNOM to broad-bandwidth spectroscopy in the visible spectral range, however, is challenging. Unless advanced, virtually background-free techniques such as plasmonic nanofocusing^{31,35–37} are used, this requires efficient background suppression based on tip-sample distance modulation and near-field enhancement using homodyne or heterodyne mixing.^{38–43}

Here, we solve these challenges by detecting tip-modulated near-field spectra after homodyne-mixing with a reference field

using a monochromator followed by a fast CCD line camera. The camera line readout rate of 210 kHz is sufficiently fast to enable extraction of complete spectra at up to the fourth harmonic of the tip-sample modulation frequency. We obtain background-free near-field spectra in the spectral range from 720 to 900 nm. The SNOM maps recorded across the Sb_2S_3 nanoparticles reveal that they act as high-refractive index, dielectric waveguides, despite their irregular surface apparent in structural studies. They support waveguide-like modes with the electric field strongly confined in the nanodots and mode profiles matching those of idealized cylindrical waveguides, which makes them promising structures for applications in nanophotonics and specifically creates interest in future studies of their nonlinear properties.

2 Experimental Setup and Methods

The experimental setup is a home-built sSNOM incorporated in the sample arm of a Michelson interferometer, as depicted schematically in Fig. 1. Light from a titanium:sapphire laser (Femtolasers Rainbow), with a spectral bandwidth of around 150 nm and centered at a wavelength of 820 nm (see the inset in the lower left of Fig. 1), is used to illuminate the sample. The power is set to 12 mW in front of the interferometer setup, and the direction of the electric field vector of the linearly polarized light can be adjusted using a half-wave plate (HWP). The light passes a beam splitter (BS) where 20% is reflected and is then focused on the sample using a reflective microscope objective

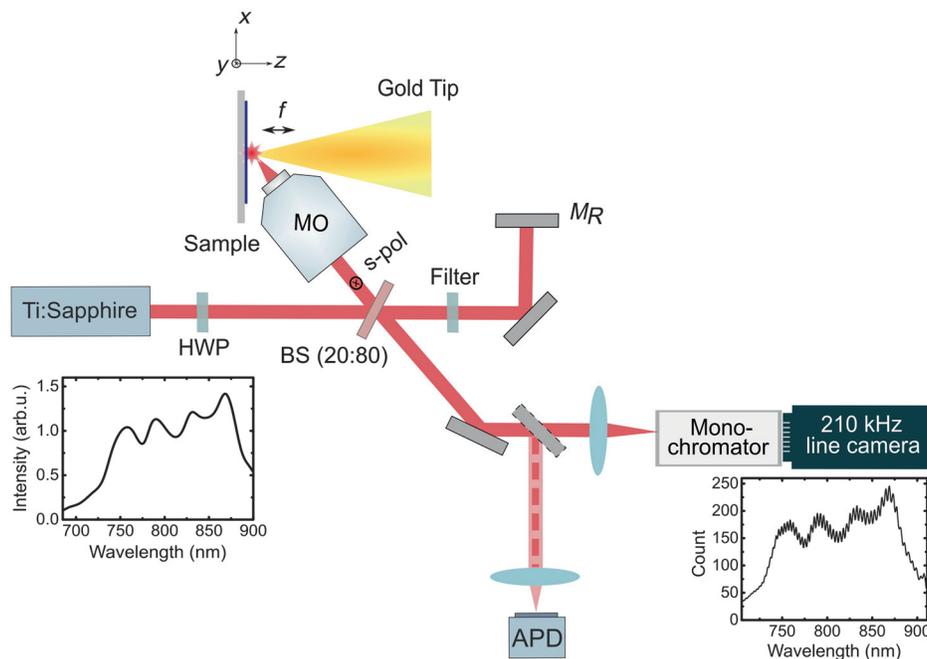


Fig. 1 Experimental setup for broad-bandwidth interference SNOM (BISNOM). Light from a broad-bandwidth titanium:sapphire laser (see the spectrum in the inset in the lower left) is focused onto the sample by an MO. The polarization is controlled by an HWP. A sharply etched gold tip is brought close to the sample to scatter light from the near-field region to the far field. The scattered light is collected by the MO. The larger part of the incident light (80%) is transmitted through a BS to the reference arm of a Michelson interferometer, adjusted in power by a variable gray filter, reflected (M_R), and superimposed with the light from the sample arm at the BS (see the spectral interferogram in the inset in the lower right). The light is detected either by an APD or by a monochromator followed by a fast CCD line camera.

(MO, Beck Optronics Solutions, model 5003-000) with NA = 0.4 and with a working distance of 14.5 mm. Using a reflective MO minimizes dispersion that would otherwise result in a varying fringe spacing in the recorded spectral interferograms. After the BS and the reflective MO, <1 mW is incident on the sample and tip. For these input powers, laser-induced tip- and/or sample-heating effects were not observed. 80% of the incident light is transmitted at the BS and serves as a reference field E_R in our measurements. For this, the power is adjusted by a variable gray filter before it is reflected back to the BS by a mirror (M_R) that forms the reference arm of the Michelson interferometer. The reference arm length is adjusted to be shorter than the sample arm by $\sim 100 \mu\text{m}$ in order to enable spectral interference with a convenient fringe spacing and is then kept constant during the measurement. To access the near-field region, a sharply etched gold nanotaper is brought in close proximity to the sample surface. Scattered light is collected in the backward direction by the MO. The part that is transmitted through the BS is overlapped with the reference field E_R , and the resulting superposition is collected for detection.

The near-field probe is a sharply etched single-crystalline gold nanotip with an apex radius of curvature of $\sim 10 \text{ nm}$. The tip is brought in close vicinity to the sample surface and the tip-sample distance is modulated at a frequency $f \approx 26 \text{ kHz}$ and with an amplitude of 12 nm. The minimum tip-sample distance is kept at 4 nm. Here, we set the light polarization to s , i.e., the electric field vector is along the y axis such that it lies within the sample plane and is perpendicular to the tip axis.

We employ two different detection methods. In the first case, for quasimonochromatic measurements, the reference arm is blocked and the signal backscattered from the focus region is detected with an avalanche photodiode (APD, Hamamatsu, model C5331-02). It is further processed by a lock-in amplifier (Zurich Instruments HFLI), with the tip modulation frequency as the reference signal. The signal demodulated at the fundamental tip modulation frequency as well as those demodulated at its second, third, and fourth harmonics are obtained and stored. We label these spectrally unresolved signals produced by the lock-in amplifier with S_{1f} , S_{2f} , S_{3f} , and S_{4f} . In this case, with the reference arm blocked, the signal detected by the APD is constituted of light scattered out of the near field by the sharp tip, E_{NF} , and of the unwanted background field E_B scattered from the sample and from the tip shaft. Together, this results in a voltage proportional to $|E_{\text{NF}} + E_B|^2$. Demodulating the signal at higher-order multiples of the tip modulation frequency makes the signal sensitive to the near-field contribution by recording an interference pattern between E_{NF} and E_B .³⁹

In the second case, for spectrally resolved measurements, the reference arm is unblocked and the output of the Michelson interferometer is steered to the monochromator (Princeton Instruments, IsoPlane-160) equipped with a fast line camera (e2V AViiVA EM4 with 512 pixels). The spectra measured now constitute a mixture of near field, background, and reference field:

$$S(\lambda) \propto |E_{\text{NF}} + E_B + E_R|^2. \quad (1)$$

In front of the monochromator, the power detected from the sample arm alone amounts to $10 \mu\text{W}$, and the power detected from the reference arm alone is $46 \mu\text{W}$. The reference arm length is adjusted such that spectral interference fringes emerge

with a spacing of 3 to 5 nm (see the inset at the lower right in Fig. 1). Equation (1) can be written as

$$S(\lambda) \propto |E_{\text{NF}} + E_B|^2 + |E_R|^2 + 2\text{Re}\{(E_{\text{NF}} + E_B) \cdot E_R\} \cdot \cos(k \cdot \Delta L), \quad (2)$$

where the wavevector $k = 2\pi\lambda^{-1}$ and the path length difference between sample and reference arm is ΔL . By separating the modulated part of the measured spectra, the term $\text{Re}\{(E_{\text{NF}} + E_B) \cdot E_R\}$ is isolated and the complexity of Eq. (2) is considerably reduced.

The remaining problem of suppressing E_B with respect to E_{NF} is solved by the fast line camera behind the monochromator. The line camera has a maximum readout rate of $210 \text{ kHz} > 8 \cdot f$, and we typically record 60,000 consecutive spectra with the maximum rate. In postprocessing, the recorded values are considered separately, i.e., for each wavelength component of the spectrum $S(\lambda)$, the line camera records a time series of 60,000 values. A Fourier series expansion is performed around multiples of the tip modulation frequency. For each pixel, the so-determined Fourier coefficients are quantities analogous to the signals generated by the lock-in amplifier in the monochromatic measurements. Assembling the respective Fourier components for all camera pixels results in spectra $S_{1f}(\lambda)$ to $S_{4f}(\lambda)$, demodulated at the first to fourth harmonic of the tip modulation frequency.⁴⁴ As we will show below, for higher-order demodulation the background field E_B is strongly suppressed in comparison with the near-field E_{NF} , such that these spectra produce $\text{Re}\{E_{\text{NF}}E_R\}$.

We investigate individual antimony sulfide (Sb_2S_3) nanoparticles created on top of an Sb_2S_3 film by EBL.⁹ Our samples are annealed at 300°C to form crystalline thin films and nanostructures. This procedure shifts the bandgap from 2.24 to 1.73 eV, and it reduces the above-gap absorption coefficient from $1.8 \times 10^5 \text{ cm}^{-1}$ at 450 nm to $7.5 \times 10^4 \text{ cm}^{-1}$ at 550 nm.² Here, we study their optical properties at wavelengths between 700 and 900 nm, well below the bandgap. In this spectral region, Sb_2S_3 has relatively low losses (absorption $< 2 \times 10^4 \text{ cm}^{-1}$ at 800 nm)² and a high index of refraction, with a positive real part varying from 3.2 at 700 nm to 2.9 at 900 nm, which means that, in our experiments, it can basically be considered as a lossless dielectric.

Here, we cover a flat, 140-nm-thick film of crystalline Sb_2S_3 with Sb_2S_3 nanoparticles, aiming at a cylindrical structure that should guide the incident light into the underlying thin film. The nanoparticles are created by EBL⁹ on top of the flat film in a regular order, with a spacing of $2 \mu\text{m}$ [Fig. 2(a); more details on the sample preparation can be found in the [Supplemental Materials](#)]. The spacing is chosen sufficiently large to avoid dipolar couplings between adjacent nanoparticles such that each nanodot may be considered as an isolated nanoparticle. They are typically dome-shaped, with a round or slightly elliptical cross section of $\sim 350 \text{ nm}$ diameter and a height of $\sim 150 \text{ nm}$. Figure 2(a) shows a scanning electron microscope (SEM) image of the nanoparticles. A higher resolution image of an individual nanoparticle [Fig. 2(b)] reveals a slightly elliptical shape and a somewhat rough surface. The center of the particle seems to have collapsed to some extent after the annealing processes following EBL. These features (ellipticity, surface roughness, and indentation) vary slightly from one nanoparticle to the next.

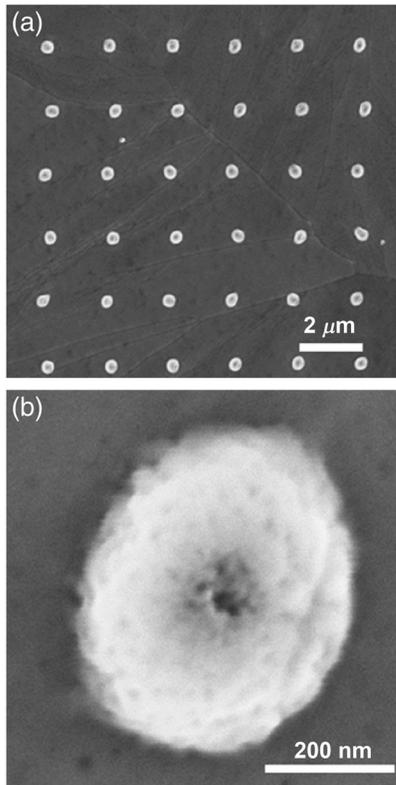


Fig. 2 SEM images of the sample. (a) Sb_2S_3 nanodots are created on a flat, compact Sb_2S_3 film by EBL. The nanodots are regularly spaced by $2\ \mu\text{m}$, and their shape varies slightly. (b) The SEM image of an individual nanodot shows a slight ellipticity and a dark shadow in the center where the material has collapsed after EBL and annealing.

3 Results and Discussion

Before recording the BISNOM spectra, we perform preparatory experiments to validate the near-field contrast and spatial resolution of the optical signals in our near-field microscope. For this, we use a quasimonochromatic spectrum for excitation, created by inserting a 40-nm bandwidth interferometric filter centered at a wavelength of 900 nm in front of the BS, and the APD and lock-in amplifier for detection. The demodulated signals S_{1f} , S_{2f} , S_{3f} , and S_{4f} are generated by the lock-in amplifier and are recorded during the measurement.

Figure 3(a) shows approach curves recorded above a flat Sb_2S_3 film, i.e., the demodulated signals as a function of tip-sample distance. The approach is stopped when the tuning fork oscillation amplitude is reduced by 2.5% [see the inset of Fig. 3(a)]. This position, which corresponds to a minimum tip-sample distance of about 4 nm, is later taken as the set point for lateral sSNOM scans. The blue, green, and red curves in Fig. 3(a) show the optical signals S_{1f} , S_{2f} , and S_{4f} , respectively, when the sample is retracted from the tip. To retain clarity in Fig. 3(a), S_{3f} is not shown, as its shape is very similar to that of S_{2f} . As the tip is retracted, the signal amplitude decays quickly. This is reflected by all three curves shown in Fig. 3(a), where the strong peaks at the minimum tip-sample distance of 4 nm decrease quickly with increasing tip-sample distance. Compared to the near field, the background field's dependence on the tip-sample distance is weaker. As a result,

the first-order demodulated signal S_{1f} , which mainly probes background scattering from the tip shaft, remains at a rather high level for large tip-sample distances. Fluctuations arise from finite mechanical instabilities and/or laser noise. The higher demodulation orders, however, measure an interference between the near-field signal and the background. Here, a measurable signal is only seen for tip-sample distance of $<10\ \text{nm}$. The signal amplitude in contact decreases when going from $2f$ to $4f$ due to the demodulation of the signal recorded with finite tapping amplitudes.⁴¹

To further evaluate the optical image capabilities of the near-field microscope, we then scanned a single Sb_2S_3 nanodot with the quasimonochromatic measurement method while the tuning fork amplitude was kept constant. The heights of the sample and the demodulated signals S_{1f} to S_{4f} were recorded over an area of $1\ \mu\text{m}$ by $1\ \mu\text{m}$. The sample was scanned linewise in the x direction with a step size of 4 nm and with a step size of 16 nm between the lines, i.e., in the y direction. The topographic image displayed in Fig. 3(b) shows that this nanodot was almost circular, with lengths of 360 and 380 nm of the short and the long axis of an ellipse, respectively, and with a height of about 150 nm. The simultaneously recorded maps of the signals S_{1f} , S_{2f} , and S_{4f} are displayed in Figs. 3(c)–3(e), respectively. They all display a clear optical signal drop in the shape of a ring over the particle. In the following, we investigate several of the observed features one by one.

The near-field images of the nanodot in Fig. 3 show a rather smooth surface and sharp signal change at the edge of the nanoparticle. The maps of the S_{1f} and S_{2f} signals display some variation across the surrounding Sb_2S_3 film, which may be caused by residual background interference. The S_{1f} and S_{2f} maps both show a strong and sharp signal increase at the left-hand edge of the nanoparticle, which is probably caused by the grazing incidence of the illuminating laser light. The spatial resolution of the optical images is better than 20 nm (see the [Supplemental Materials](#)).

The best near-field contrast is reached with the S_{4f} signal [Fig. 3(e)]. The optical signal measured across the particle is reduced in the ring-shaped area with respect to the signal measured on the film by roughly 90%. This difference can be understood as follows. While the tip is within a small distance to the flat film, incident light and light reflected from the film couple to the polarizability component of the tip dipole that is oriented along the polarization direction of the incident laser field. The laser is polarized perpendicular to the tip axis to avoid strong resonant excitation of the longitudinal tip polarizability. The y -polarized tip dipole induces an image dipole in the sample and the near-field coupling between the tip dipole and the image dipole enhances the field that is scattered from the tip to the detector. In the flat film region, the near-field contrast thus results from the coupling of the tip and image dipoles, as introduced by Keilmann.⁴⁰ For such a planar sample, the LDOS is spatially and spectrally homogeneous, and the near-field signal probes the dielectric function of the bulk material.^{39,40} As the tip reaches the edge of the particle, it no longer couples to an extended film, but to a nanoparticle of finite size. Hence, the LDOS of the sample is dominated by the resonant optical modes of the nanoparticle. As will be discussed in more detail below, the SNOM images essentially provide a spatial map of the profile of these modes.

We find that the near-field signal rises steadily from the low-signal region near the particle rim toward the center of the

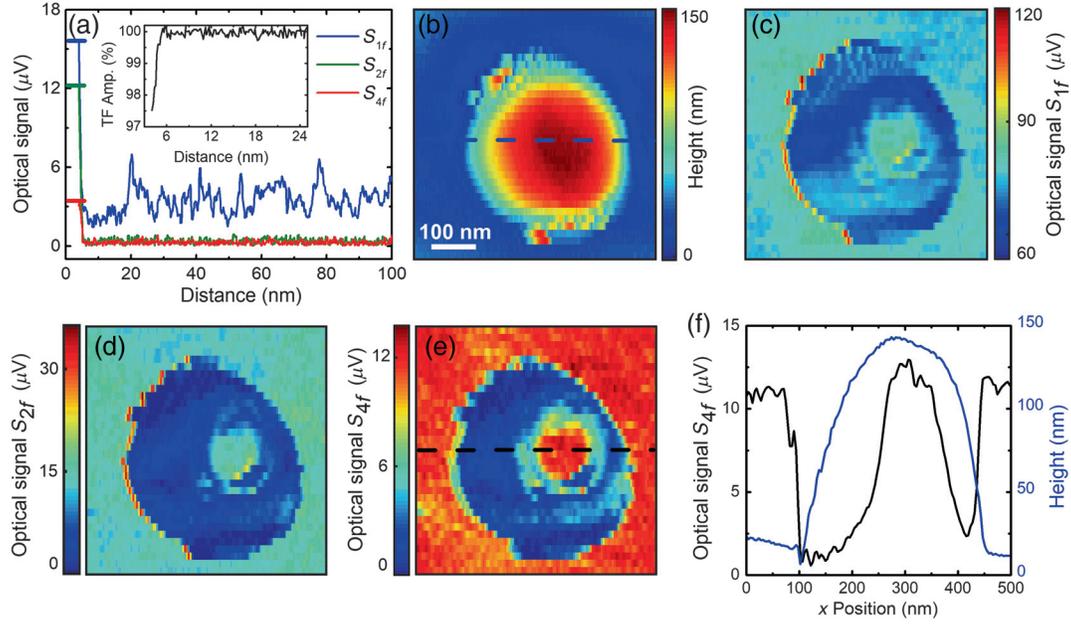


Fig. 3 Quasimonochromatic SNOM measurements of an individual nanoparticle using a 40-nm bandwidth laser spectrum centered at 900 nm for excitation and the APD and lock-in amplifier for detection. (a) Optical signal demodulated at the first (blue curve), second (green curve), and fourth harmonic (red curve) of the tip modulation frequency as a function of tip-sample distance. The higher-order demodulated signals demonstrate an improved near-field contrast. The inset shows the simultaneously recorded tuning fork amplitude. (b) A topographical map and (c)–(e) maps of the optical signals S_{1f} , S_{2f} , and S_{4f} , respectively. All maps show a ring-shaped intensity distribution. (f) Cross cuts through the topographical map (blue curve) and the S_{4f} signal (black curve) along dashed lines in (b) and (e).

nanodot. The over-all symmetry is roughly radial. To show this more clearly, cuts through the topographic image and through the S_{4f} map [along the dashed lines in Figs. 3(b) and 3(e)] are plotted together in Fig. 3(f). The topography contour (blue curve) is a smooth cone, with its maximum leaning somewhat to the right. We thus interpret the central feature in the SNOM images as the spatial extent of the optical modes of the nanoparticle that are excited by the external laser light and probed by their coupling to the near-field tip. The dome-like shape of the observed mode is reminiscent of fundamental fiber-optical modes and suggests that waveguide modes that are excited in the Sb_2S_3 nanoparticle are of a similar shape.

In order to investigate the nanodot modes further, we calculate the solutions of the vector Helmholtz equation for a weakly guiding, cylindrical waveguide, as it is routinely applied to fiber-optical waveguides.^{45,46} Taking a long cylinder of 200 nm radius, the refractive index of Sb_2S_3 $n_1 = 3.04$ at a wavelength of 800 nm, and $n_2 = 1$ for the surrounding medium air, there exist four solutions for guided waves. Adopting the terminology that was introduced for fiber modes in 1971 by Gloge,⁴⁵ these are the LP_{01} , LP_{11} , LP_{02} , and LP_{21} modes, which are strongly localized within the waveguide. Here, the first index indicates the number of pairs of nodes of the electric field strength in the azimuthal coordinate, and the second index gives the number of nodes in the radial direction, including the approach toward zero for large radii.

We assume that the LDOS in the nanodot region is mainly given as a sum over these bound modes with eigenvectors $\vec{e}_m(\vec{r})$ and eigenfrequencies ω_m .²⁶ Each of these modes is characterized

by a finite damping rate γ_m that describes the temporal decay of the electromagnetic field emitted by this mode after impulse excitation. In the limit of sufficiently weak damping, the LDOS may be phenomenologically written as

$$\rho(\vec{r}, \omega) = \sum_m |\vec{e}_m(\vec{r}, \omega)|^2 \delta_g(\omega - \omega_m). \quad (3)$$

Here, $\delta_g(\omega - \omega_m) = \frac{-2i\omega'_m}{\pi(\omega_m^* - \omega^2 - 2i\omega\gamma_m)}$ with $\omega'_m = \sqrt{\omega_m^2 - \gamma_m^2}$ is a generalized Dirac delta function. Its integral along the frequency axis gives unity. Quite generally, the damping can be induced by the finite lifetime of the mode m , $T1_m$, and possible pure dephasing processes with pure dephasing time $T2_m^*$ resulting from stochastic fluctuations of the environment. Phenomenologically, the damping rate can then be taken as $\gamma_m = (2T1_m)^{-1} + (T2_m^*)^{-1}$ and the mode can be characterized by a complex resonance frequency $\tilde{\omega}_m = \omega_m - i\gamma_m$. The contribution of unbound radiation modes is neglected since their overlap with the nanodot core is small. For the perfect cylindrical waveguide considered here, for each bound mode a continuum of modes that are propagating along the fiber axis exists and the LDOS is spectrally flat.

The LDOS for a cylindrical waveguide of 200 nm radius is plotted in Fig. 4(a) for a wavelength of 800 nm. In this picture, the corresponding eigenvectors are twofold polarization degenerate for light field polarization along the x and y axes, while the z axis is the direction of propagation of the guided waves. An SNOM measurement carried out by scanning a point dipole

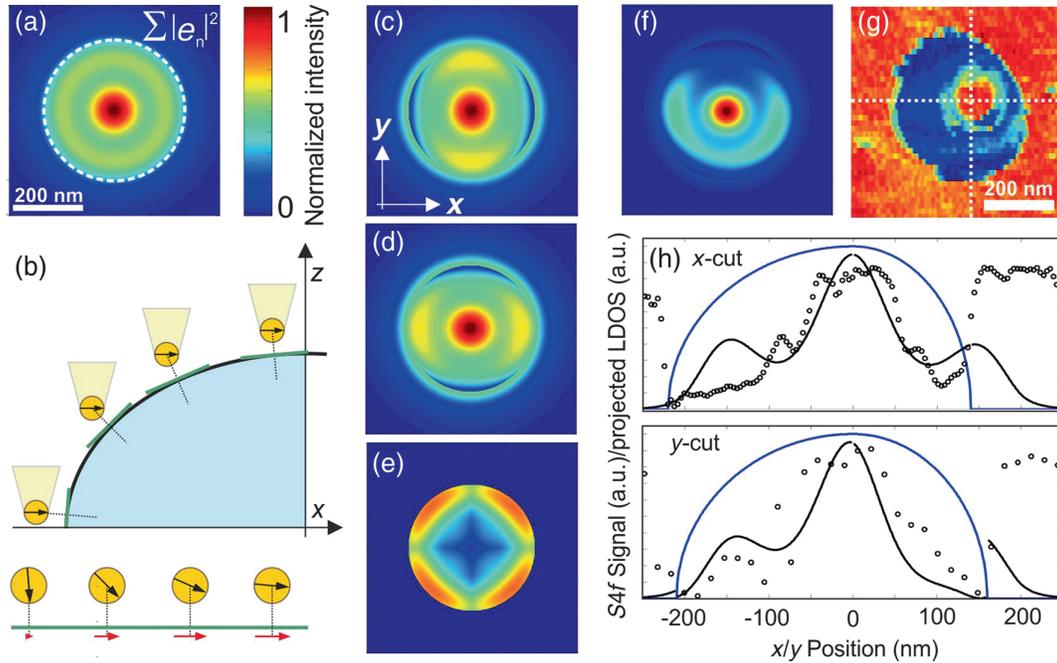


Fig. 4 (a) Local density of states in an Sb_2S_3 -nanodot with a circular cross section of a 200-nm radius at a wavelength of 800 nm. (b) An x -oriented tip dipole is moved along the x axis from the left rim to the center over the surface of the elliptical nanodot. Below is shown how the dipole orientation changes relative to the surface, and the red arrows at the bottom indicate the projection on the surface. (c) The projected LDOS as probed with an x -oriented tip dipole shows minima at the left and right rims, corresponding to the small projection of the tip-dipole onto the surface at these edges. (d) Using a y -oriented tip dipole results in a 90-deg rotated image, and (e) a z -oriented dipole particularly probes the edge of the rim. (f) The asymmetric SNOM map calculated for a wavelength of 800 nm and (g) for comparison, the measured S_{4f} map [same as Fig. 3(e)]. The main maximum as well as the asymmetric side lobes on the left and lower right and the near-zero signal regions at the top and bottom are well recreated. (h) Horizontal and vertical cuts (upper and lower graphs, respectively) of the measured SNOM map (black circles) together with the calculated SNOM signals (black curve), calculated for the nanodot curvature shown by the blue curves.

\vec{p} oriented along \hat{u} across a structure with localized modes probes the projected LDOS,^{13,26}

$$\rho_{\hat{u}}(\vec{r}, \omega) = \frac{2\omega}{\pi c^2} \text{Im}[\hat{u} \cdot \vec{G}(\vec{r}, \vec{r}, \omega) \cdot \hat{u}], \quad (4)$$

where, for weakly dissipative systems, Green's function can be written as²⁶

$$\vec{G}(\vec{r}, \vec{r}', \omega) \approx c^2 \sum_m \frac{\vec{e}_m^*(\vec{r}') \otimes \vec{e}_m(\vec{r})}{\omega_m^2 - \omega^2 - 2i\omega\gamma_m}. \quad (5)$$

In principle, if a tip closely representing a point dipole was scanned across a flat surface with such a waveguide mode profile (such as the flat top of a pillar of Sb_2S_3 surrounded by air), the resulting SNOM map should be an image of the calculated LDOS shown in Fig. 4(a). In our experiment, however, the surface of the nanodot is not flat, but it is more truthfully described by a flattened half-ellipse with circular base of 200-nm radius and a shorter height of 140 nm. When scanning a point dipole across the nanodot, the point dipole projection onto its surface changes continuously. We have calculated the projection of a tip

dipole \vec{p}_{tip} of a fixed orientation onto the surface as a function of the tip position \vec{r} and have substituted the point dipole orientation \hat{u} in Eq. (4) by this projection (more information on these calculations can be found in the [Supplemental Materials](#)). Figure 4(b) shows in a sketch how the projection onto the surface (the red arrow) increases as an x -oriented tip dipole is moved along the central x axis from the rim of the particle to its center. When scanning an x -oriented dipole along the central y axis, however, the projection onto the surface does not change. In total, the projected LDOS then resembles the LDOS shown in Fig. 4(a) but has minima at the left and right sides [see Fig. 4(c)]. Analogously, when scanning a y -oriented dipole across the nanodot, the projected LDOS appears rotated by 90 deg [Fig. 4(d)]. In contrast, a z -oriented tip dipole creates the largest projection close to the particle rim, resulting in the image shown in Fig. 4(e).

In our experiment, the light was incident from a direction in the x - z plane, with an angle of ~ 20 deg to the negative x axis and ~ 70 deg to the z axis, with the field polarization along the y axis. However, as the tips are etched and then glued onto the tuning fork manually, their orientation relative to the sample is with some error margin (~ 10 deg). Thus, an excitation of the z -oriented as well as the x -oriented tip dipoles can hardly

be avoided due to uncertainties in the alignment of the tips, and an excitation of the three components on the diagonal of the polarizability tensor was considered. Finally, the radiation of the tip dipole, locally enhanced by its coupling to the waveguide modes into the far field (i.e., the detector, located at position \vec{R}_{det} in the direction of the incident light), is calculated to yield the simulated SNOM map shown in Fig. 4(f). The SNOM map displays a distinct radial asymmetry, which is likely to arise from the particular orientation of the tip dipole. The simulation using the projection of the tip dipole on an elliptical surface explains in particular the rather narrow central maximum in the SNOM map as well as the side lobes to the left and lower right and the near-zero signal strength at the upper and lower rim [compare S_{4f} map in Fig. 4(g)]. Figure 4(h) compares the simulated (black curves) and measured S_{4f} signals (black circles) along a horizontal and a vertical cut (upper and lower graph, respectively). These cuts have been calculated for the LDOS shown in Fig. 4(a), but for differently curved surfaces (indicated by the blue curves), in order to account for the slightly asymmetric shape of the nanoparticle. One can see that neither simulated nor measured values reach zero at the left and right rim of the nanodot (see x -cut), but they do so at the upper and lower rim (y -cut). Even though the simulations reproduce most of the experimental observations, some minor discrepancies remain. Specifically, the plateau formed around the center of the measured SNOM map and a very sharp ring around this central plateau are not well reproduced. These differences may be the effect of the finite disorder seen in Fig. 2(b) on the local field enhancement. In summary, the simulations show that the shape of the SNOM images resembles the projected LDOS given by the bound modes of a circular waveguide with a dome-like surface topography.

In the following, we will employ spectrally resolved near-field measurements of an Sb_2S_3 nanodot to study these modes in more detail. We can approach this in two ways. First, the waveguide modes proposed above provide a solution for a continuum of wavelengths. At a fixed spatial position, these modes can be excited across the complete spectral range covered by the broad-bandwidth laser, and the scattered spectra therefore should show negligible spectral variation in our experiments. This is different in case that resonant modes of the nanodot are excited, showing distinct spectral resonances. We will next present spectra recorded at different positions on the nanodot and the film to distinguish between both cases.

In order to verify that the BISNOM measurements probe a pure near-field contrast, we record near-field spectra during an approach over the flat Sb_2S_3 film. Figures 5(a) and 5(b) compare the spectra demodulated at the fundamental tip modulation frequency $S_{1f}(\lambda)$, and spectra demodulated at the fourth harmonic, $S_{4f}(\lambda)$, for a few tip-sample distances between 3 and 12 nm. The $S_{1f}(\lambda)$ spectrum [Fig. 5(a)] increases in intensity when the sample is removed from the tip, and the spectral shape changes. Both effects can be ascribed to interference of the reference field with a strong background signal scattered from the tip. In contrast, the $S_{4f}(\lambda)$ spectrum [Fig. 5(b)] decays strongly as the sample is removed from the tip; as the distance is increased by 12 nm, the signal mostly vanishes. During the process, the spectral shape is retained. In order to show that the measured $S_{4f}(\lambda)$ spectrum represents in good approximation the near-field spectrum, we have calculated the latter.

We model the tip polarizability in the x and y directions (perpendicular to the tip axis) by the polarizability of a gold

sphere with radius $R = 10$ nm. A dipole oscillation is excited by an incident field polarized in the y direction. Following Knoll and Keilmann,⁴⁰ the tip dipole causes a polarization in the material. The field emitted by the image dipole acts back on the tip dipole, effectively enhancing the incident field. This is described by an effective polarizability, which increases in a super-linear fashion as the tip-sample distance is decreased. In Fig. 5(c), the yy matrix element of the effective polarizability (the only nonzero element under excitation with y -polarized light) is plotted as a function of wavelength for the same tip-sample distances d as were measured in the experiment and taking into account the dielectric functions of gold and of Sb_2S_3 . One can see that the effective polarizability increases as the tip-sample distance decreases. The increase toward short wavelengths is caused by the tip resonance, which is far detuned to the blue. This spectral shape is preserved during the approach. We calculate the dipole field component that is radiated toward the detector and mix it with the reference field to yield the near-field spectrum $S_{\text{NF}}(\lambda, d)$ for the different distances d . Here, we have used the measured reference spectrum [shown in the inset of Fig. 5(d)] as both the reference and incident spectrum. The result is shown in Fig. 5(d). The agreement with the measured S_{4f} spectra is excellent, both in spectral shape and in distance dependence.

We now perform a spectrally resolved BISNOM scan of an Sb_2S_3 nanoparticle using the monochromator and the fast line camera. The laser spectrum used for this particular measurement is the one shown in the setup sketch in Fig. 1. We record complete near-field spectra $S_{1f}(\lambda)$, $S_{2f}(\lambda)$, $S_{3f}(\lambda)$, and $S_{4f}(\lambda)$ across a 500-nm by 400-nm large area, and with a step size of 12.5 and 20 nm in the x and y directions, respectively. In Figs. 6(a)–6(c), we show sets of these four spectra exemplarily for three different positions: in the center of the nanoparticle [Pos. 1, Fig. 6(a)], on the flat Sb_2S_3 film [Pos. 2, Fig. 6(b)], and on the outer area of the nanoparticle where the near-field signal is strongly reduced [Pos. 3, Fig. 6(c)]. The positions are marked in the SNOM map in the inset in Fig. 6(d), which has been prepared by plotting the spectrally integrated signal $S_{4f}(\lambda)$ as a function of position on the sample. Within each of the Figs. 6(a)–6(c) one can see that the measured $S_{2f}(\lambda)$, $S_{3f}(\lambda)$, and $S_{4f}(\lambda)$ spectra closely resemble each other, while the spectral shape of $S_{1f}(\lambda)$ clearly deviates from these and furthermore varies between the positions. In agreement with the observation shown in Figs. 5(a) and 5(b), this leads us to conclude that the higher-order demodulated spectra reliably reflect the near-field spectra, while spectral interference of the background and reference fields leads to spectral variations in the $S_{1f}(\lambda)$ signal. In contrast, the higher-order demodulated spectra seem to hardly vary as the position on the sample is changed. In order to facilitate a direct comparison, the $S_{4f}(\lambda)$ spectra of the three positions are plotted together in Fig. 6(d). The black curve represents a near-field spectrum calculated from the effective polarizability above a flat Sb_2S_3 film and using the laser input spectrum shown in Fig. 1. As expected, the $S_{4f}(\lambda)$ spectrum recorded on the film (Pos. 2, dotted red curve) matches the calculated spectrum well. A similar agreement can be seen for the $S_{4f}(\lambda)$ spectrum recorded at the center of the nanodot (Pos. 1, dashed red curve). This supports our interpretation of the field profile as that of a mode, which has a broad bandwidth and is spectrally flat within our measurement range. Coupling to the nanodot is nearly wavelength-independent, which is reflected by the close resemblance of the calculated spectra and those measured on the film and

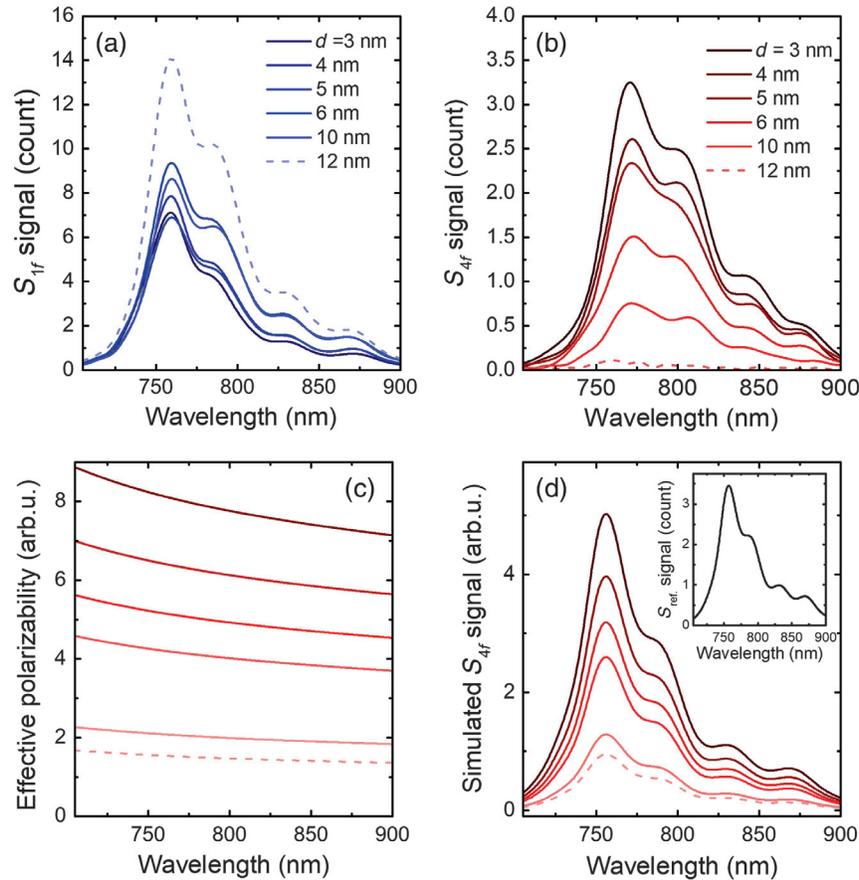


Fig. 5 Spectrally resolved approach curves measured above the flat Sb_2S_3 film. (a) The spectra recorded on the Sb_2S_3 film, demodulated at the fundamental tip modulation frequency $S_{1f}(\lambda)$ as a function of the tip-sample distance d . Due to background interference, the spectra change their shape as the tip is retracted. (b) The signal demodulated at the fourth harmonic $S_{4f}(\lambda)$ retains its shape and decreases rapidly as the tip-sample distance increases. (c) The effective tip polarizability calculated for the same tip-sample distances as used in the measurements; and (d) the calculated near-field spectra. The inset shows the reference spectrum that was measured in the experiment and used as the input spectrum for the simulations. The calculated near-field spectra are in good agreement with the measured $S_{4f}(\lambda)$ spectra.

the central area of the nanodot. The last spectrum has been measured in the outer area of the nanodot, where the over-all signal strength is markedly reduced (Pos. 3, solid red curve). For a better comparison with the other two spectra, this one is scaled by a factor 2.2. The slightly differing shape can thus be attributed to the lower signal-to-noise ratio at this position. Together, the measurements presented in Fig. 6 verify the assumption of the broad bandwidth and flat spectral shape of the observed fundamental optical mode of the nanodot. The spatial-spectral behavior is similar to that of guided wave modes. No evidence for resonant modes of the nanodot is found.

As a final verification of the spatial-spectral mode properties, we extract spectral near-field maps from the BISNOM data. Since the waveguide modes are spectrally flat, we expect very similar near-field maps for different spectral bands, possibly increasing slightly in diameter with increasing wavelength, as known from fiber modes. In Figs. 7(a)–7(g), we have plotted maps created by spectrally integrating the S_{4f} signal over 30-nm wide spectral bands, centered at equally spaced wavelengths from 715 to 895 nm. The contrast in these SNOM maps remains

high throughout these seven spectral bands, which is yet another implication of the negligible spectral variation of the waveguide modes. At the short- and the long-wavelength edges, the measurement noise is increased due to the lower spectral power density of the laser. In each of these maps, a ring-shaped signal decrease can be seen clearly. On closer inspection, the characteristic features from the projected LDOS calculation can be found here again, namely the secondary maxima at the left and lower right of the nanodot, and the near-zero signal regions at the top and bottom. Compared to the earlier investigated nanodot (cmp. Fig. 4), here the main maximum appears to be more centered, which indicates that the nanodot is more regularly shaped.

Figure 7(h) compares cross cuts through the maps created at the outermost spectral bands at 715 and 865 nm [Figs. 7(a) and 7(f), respectively] with calculated SNOM measurements above an elliptical surface over the LP_{01} , LP_{11} , LP_{02} , and LP_{21} mode profiles, once calculated for a wavelength of 715 nm and once for 865 nm. The measured values are shown as symbols in Fig. 7(h) and the calculated values as solid curves. As all curves

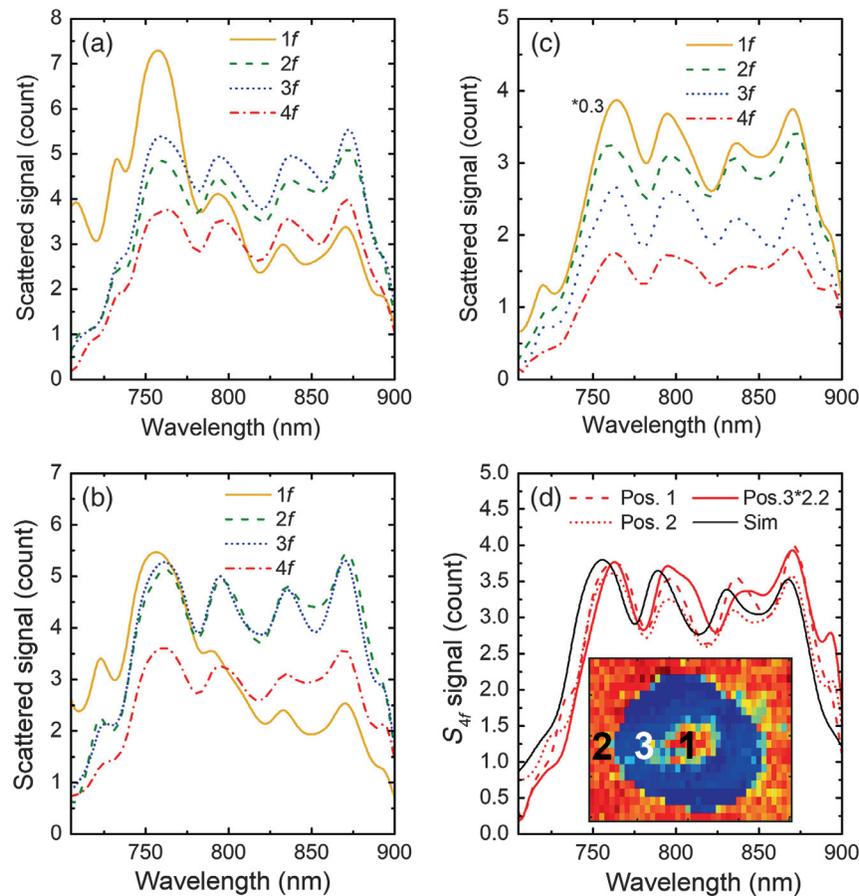


Fig. 6 Near-field spectra recorded at different positions on an Sb_2S_3 nanoparticle using the monochromator and fast line camera. (a) The demodulated signals $S_{1f}(\lambda)$ to $S_{4f}(\lambda)$ recorded with the tip in close contact above the center of the nanodot; (b) on the film; and (c) above the outer area on the nanodot. (d) Comparison of the three $S_{4f}(\lambda)$ spectra shown in (a)–(c) and a calculated S_{4f} spectrum (black curve). The inset indicates the three positions where the spectra shown in this figure are recorded.

are normalized, the larger extent of the long-wavelength mode is reflected by the slower decrease with a larger radius (red curve). The general shape of the cross cuts through the measured near-field maps agrees well with the simulated projected LDOS. The increase in diameter with wavelength predicted by the modeling cannot easily be seen in the measurements. Seeing this subtle effect is certainly made difficult by the finite signal-to-noise ratio measurement. In addition, it may be obscured by the effect of the structural disorder of the nanodot on its optical eigenmodes. In particular, the imperfections in the center of the dot seen in Fig. 2(b) are likely to affect the mode profile structure near the surface. Such disorder effects are likely to be seen on the left part of the nanodot center. Together, the spectrally resolved near-field measurements presented in Figs. 6 and 7 convincingly demonstrate spatial mapping of the mode profiles of the individual Sb_2S_3 nanodot. They show that the spatial mode profiles of these dots are similar to those of the lowest-order LP guided modes of the idealized cylindrical waveguide. No evidence for resonant cavity modes of the nanodot is found in these measurements. This suggests that backreflections at the interface between the nanodot and the supporting Sb_2S_3 film are too weak to result in Fabry–Perot-like standing modes of the dielectric nanodot cavity.

4 Summary and Conclusions

We have realized a new interferometrically detected scanning near-field optical spectroscopy technique with a broad bandwidth in the near-infrared. The key to this method is a fast CCD line camera capable of recording complete spectra with a readout rate of more than eight times the tip modulation frequency such that near-field spectra demodulated at the fourth order can be obtained in postprocessing. Furthermore, we have incorporated the near-field microscope in a Michelson interferometer for homodyne mixing and boosting the weak near-field signal.

We have demonstrated the potential of broad-bandwidth interferometric near-field spectroscopy by experimentally investigating the optical modes supported by individual Sb_2S_3 nanodots deposited on an Sb_2S_3 thin film. The near-field measurements reveal mode profiles reminiscent of low-order optical modes typical for more idealized cylindrical waveguides. These modes are seen in near-field spectra across the entire bandwidth supported by our laser while we find no clear signatures for spectrally sharp Fabry–Perot resonances of the nanodots. These results show that the Sb_2S_3 nanodots can act as high-refractive-index dielectric waveguides with low losses in a broad spectral range below the bandgap. Together with their high

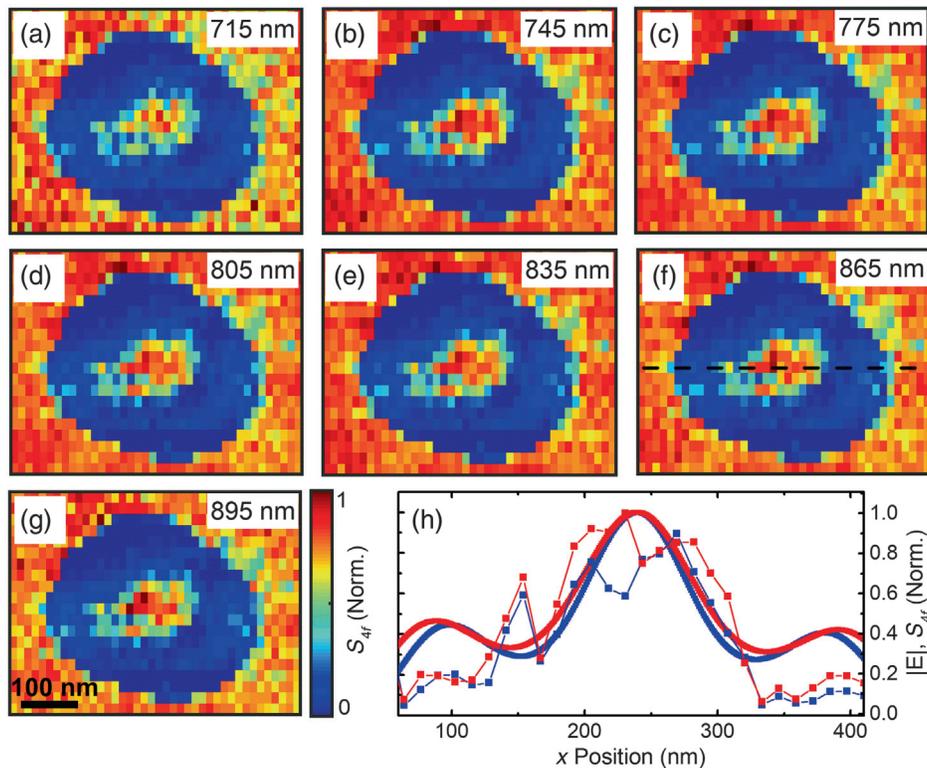


Fig. 7 Spectrally resolved near-field maps of an Sb₂S₃ nanodot. (a)–(g) Near-field maps recreated from 30-nm spectral bands centered at (a) 715 nm, (b) 745 nm, (c) 775 nm, (d) 805 nm, (e) 835 nm, (f) 865 nm, and (g) 895 nm. (h) Cross cuts through the near-field maps shown in (a) (spectral range 700 to 730 nm, blue symbols) and in (f) (spectral range 850 to 880 nm, red symbols) together with the calculated SNOM maps at 715 nm (blue curve) and 865 nm (red curve).

above-bandgap absorption, their high photoconductivity, and the possibility for easy 2D and 3D structuring, this makes them promising candidates as switchable metamaterials. It will be highly interesting to investigate their nonlinear properties in future works.

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