

Detailed design of the science operations for the XRISM mission

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Abstract. X-Ray Imaging and Spectroscopy Mission (XRISM) is an x-ray astronomical mission led by the Japan Aerospace Exploration Agency (JAXA) and National Aeronautics and Space Administration (NASA), with collaboration from the European Space Agency (ESA) and other international participants, that is planned for launch in 2022 (Japanese fiscal year), to quickly restore high-resolution x-ray spectroscopy of astrophysical objects using the microcalorimeter array after the loss of Hitomi satellite. In order to enhance the scientific outputs of the mission, the Science Operations Team (SOT) is structured independently from the Instrument Teams (ITs) and the Mission Operations Team. The responsibilities of the SOT are divided into four categories: (1) guest observer program and data distributions, (2) distribution of analysis software and the calibration database, (3) guest observer support activities, and (4) performance

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verification and optimization activities. Before constructing the operations concept of the XRISM mission, lessons on the science operations learned from past Japanese x-ray missions (ASCA, Suzaku, and Hitomi) are reviewed, and 15 kinds of lessons are identified by categories, such as lessons on the importance of avoiding non-public (“animal”) tools, coding quality of public tools in terms of the engineering viewpoint and calibration accuracy, tight communications with ITs and operations teams, and well-defined task division between scientists and engineers. Among these lessons, (a) the importance of early preparation of the operations from the ground stage, (b) construction of an independent team for science operations separate from the instrument development, and (c) operations with well-defined duties by appointed members are recognized as key lessons for XRISM. Based on this, (i) the task division between the mission and science operations and (ii) the subgroup structure within the XRISM Team are defined in detail as the XRISM operations concept. Based on this operations concept, the detailed plan of the science operations is designed as follows. The science operations tasks are shared among Japan, the USA, and Europe and are performed by three centers: the Science Operations Center (SOC) at JAXA, the Science Data Center (SDC) at NASA, and European Space Astronomy Centre (ESAC) at the ESA. The SOT is defined as a combination of the SOC and SDC. The SOC is designed to perform tasks close to the spacecraft operations, such as spacecraft planning of science targets, quick-look health checks, and prepipeline data processing. The SDC covers tasks regarding data calibration processing (pipeline processing) and maintenance of analysis tools. The data-archive and user-support activities are planned to be covered both by the SOC and SDC. Finally, the details of the science operations tasks and the tools for science operations are defined and prepared before launch. This information is expected to be helpful for the construction of science operations of future x-ray missions. © *The Authors. Published by SPIE under a Creative Commons Attribution 4.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI.* [DOI: [10.1117/1.JATIS.7.3.037001](https://doi.org/10.1117/1.JATIS.7.3.037001)]

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1 Introduction

The X-Ray Imaging and Spectroscopy Mission (XRISM)¹ is an x-ray astronomical mission led by the Japan Aerospace Exploration Agency (JAXA) and National Aeronautics and Space Administration (NASA), in collaboration with the European Space Agency (ESA) and other international partners, that is planned for launch in 2022 (Japanese fiscal year) to restore high-resolution x-ray spectroscopy after the loss of the Hitomi satellite.² The XRISM mission has four scientific objectives:³ (1) understanding the formation of the structure of the universe and evolution of clusters of galaxies by measuring turbulent and Doppler velocities at the 300-km/s level in spatially resolved spectroscopy of clusters of galaxies, (2) understanding the circulation history of baryonic matter in the universe from high-resolution spectroscopy of phenomena such as supernova remnants and supernovae, (3) understanding the transport and circulation of energy in the universe by observing feedback from active galactic nuclei or outflow from super-massive black holes via high-resolution spectroscopy, and (4) new science based on unprecedented high-resolution x-ray spectroscopy, such as detailed diagnostics of collisional ionization and photoionized plasma. To meet these scientific objectives of the XRISM mission, the spacecraft and ground systems are designed to use the x-ray microcalorimeter array Resolve and the x-ray CCD camera Xtend on the focal planes of the x-ray mirrors, which provide x-ray spectroscopy with a high-energy resolution of ≤ 7 eV FWHM within a field of view (FOV) of 3.05×3.05 arcmin² and imaging capability with a wide FOV of 30×30 arcmin², respectively, in the 0.3- to 12-keV band. This paper focuses on the ground systems of the XRISM mission.

In order to maximize the scientific outputs from the XRISM mission, the science operations of the mission also need to be well designed and performed properly, namely, by conducting a guest observation program operating as a public observatory under a well-supported system of

guest observers and providing well-calibrated observational data in the standard format for astronomical use [i.e., flexible image transport system (FITS) format⁴] with simple and accurate analysis environments and tools.⁵

This paper aims to describe the details of the development of the XRISM science operations from the concept study to the detailed plans as well as give detailed descriptions of the preparations for the operation (such as science operations manuals, tools, and websites) based on the SPIE Proceeding in 2020.⁶ Note that such descriptions on the detail of design of the science operations may have sensitive topics for the project but the paper aims to describe those as much as possible avoiding confidential technical ideas and political issues for the XRISM project and agencies, because the authors believe that this knowledge may help the design of science operations in near-future high-energy missions. The rest of this paper is organized as follows. We summarize the lessons learned from past x-ray missions in Sec. 2 as the first step of the concept study and summarize the concept of the operations in Sec. 3. In Secs. 4 and 5, the team structure and the details of the science operations plan are summarized, respectively. Finally, Sec. 6 describes the timeline of the science operations and details of preparation of tools in the ground systems for the XRISM science operations, and finally we summarize this paper in Sec. 7.

2 Lessons for Science Operations Learned from Previous X-Ray Missions

2.1 Summary of Lessons and Their Relations

As described in Sec. 1, the goal of science operations is to enhance or maximize the scientific outputs of the mission. In science missions, the activities required of science operations can be divided into the following four categories:

- SO1: guest observer (GO) program and data distribution;
- SO2: distribution of analysis software and calibration database;
- SO3: GO supporting activities;
- SO4: performance verification and optimization (PVO) activities.

Many lessons were learned from the science operations in the series of Japanese x-ray satellites, and although some of them require no changes, others need to be addressed before the next mission. Table 1 summarizes the relations among lessons learned from the Advanced Satellite for Cosmology and Astrophysics (ASCA),⁷ Suzaku,⁸ and Hitomi² missions, the details of which are described in Secs. 2.2, 2.3, and 2.4. Positive and negative lessons are marked by + and – identifiers, respectively. Historically, attempts were made to address negative lessons in the next mission. However, this sometimes created another negative situation, which then needed to be solved in a subsequent mission. All of the lessons learned from past x-ray missions were considered by XRISM science operations, which are also shown in Table 1 and summarized in Sec. 2.5.

2.2 Lessons Learned from ASCA

The ASCA mission was the fourth in the series of Japanese x-ray satellites⁷ and was launched in 1993 carrying a Gas Imaging Spectrometer and Solid-State Imaging Spectrometer x-ray CCD cameras to observe astrophysical objects in the 0.5- to 10-keV band. The science operations activities as a public observatory were well established in almost the first collaboration between the Institute of Space and Astronautical Science (ISAS) at current JAXA and NASA/GSFC in the GO program (1a-ASCA⁺) and the distribution of observation data (1b-ASCA⁺), analysis software (2a-ASCA⁺), GO support (3a-ASCA⁺), international collaboration (4a-ASCA⁺) and calibration of instruments (4b-ASCA⁺), but there were also two negative items (2b-ASCA⁻ and 3b-ASCA⁻). The successful parts of ASCA are summarized as follows.

1a-ASCA⁺. The GO program worked well both in Japan and the USA. GOs were able to submit their proposals to agencies, which were reviewed by the scientists and selected based on

Table 1 Relations among the lessons learned from ASCA, Suzaku, and Hitomi, summarized by category (SO1, SO2, SO3, and SO4; Sec. 2.1). Identifiers such as 1a-ASCA⁺ and 2ab-Suzaku⁺ are defined in Secs. 2.2, 2.3, and 2.4. The “→” mark represents that the following mission continued the activities in the column on the left.

Category	ASCA	Suzaku	Hitomi	XRISM
SO1	1a-ASCA ⁺ (GO program)	→	1a-Hitomi [±] (not activated)	→
	1b-ASCA ⁺ (data distribution)	→	→	→
SO2	2a-ASCA ⁺ (tool verification)	2ab-Suzaku ⁺ (verification, public tools)	→	→
	2b-ASCA ⁻ (non-public tools)		→	→
		2c-Suzaku ⁻ (software development)	2c-Hitomi ⁺ (specific team)	→
		2d-Hitomi ⁻ (management)	To be fixed	
SO3	3a-ASCA ⁺ (GO support)	→	3-Hitomi [±] (not activated)	→
	3b-ASCA ⁻ (support center)	3b-Suzaku ⁺ (Help desk Japan)		→
		3c-Suzaku ⁻ (data access rights)		To be fixed
SO4	4a-ASCA ⁺ (communication Japan/USA)	→	→ (communication Japan/USA/ESA)	→
	4b-ASCA ⁺ (calibration)	→	4b-Hitomi [±] (limited calibration)	→
		4c-Suzaku ⁺ (IACHEC)	→	→

priorities, and information regarding the approved targets were used by mission operations in Japan. The basic procedures of the GO program were established.

1b-ASCA⁺. All data products were well managed and distributed to GOs. The backbone of the procedure for processing and archiving observation data was established.

2a-ASCA⁺. The core algorithms in the analysis software were well verified via on-ground calibration measurements before launch by Instrument Teams (ITs). Analysis tools using these algorithms and the calibration database were delivered to GOs. The concept was established in this mission.

3a-ASCA⁺. As a part of the GO program, user support activities were established.

4a-ASCA⁺. Collaboration between Japan and the USA was established on the ASCA science operations and was well organized especially on the development of the public software and the calibration database.

4b-ASCA⁺. The IT members in Japan performed ground calibrations while scientists both in Japan and the USA performed continuous in-orbit calibrations, which delivered good calibration accuracy.

However, the following items can be regarded as negative lessons provided by this past mission.

2b-ASCA⁻. The IT members developed their own tools for analyzing the ground calibration data, which sometimes provide better results than the public analysis software released as part of item 2a-ASCA⁺ in the early GO phase. Since these tools were not initially made public, they became referred to as “animal software” because of the unfairness of the analyses from the viewpoint of a public observatory, although this unfair situation was resolved in the final version of the products.

3b-ASCA⁻. GO support was provided only in the USA by the US ASCA Guest Observer Facility (GOF), but not in Japan, although ITs in Japan provided deep support for the GOF activity. The interface to GOs existed only in the USA.

2.3 Lessons Learned from Suzaku

The Suzaku mission was the fifth in the series of the Japanese x-ray satellites in collaboration between JAXA and NASA⁸ and was launched in 2005 carrying the High-Throughput X-ray Telescope, x-ray imaging spectrometer CCD cameras, and non-imaging hard x-ray detector. The science operations members of Suzaku tried to utilize the positive lessons from ASCA (i.e., on the GO program and data distribution 1a-ASCA⁺ and 1b-ASCA⁺, the software development 2a-ASCA⁺, the GO support 3a-ASCA⁺, and the PVO activities 4a-ASCA⁺ and 4b-ASCA⁺) and fix the negative situations (2b-ASCA⁻ and 3b-ASCA⁻). They successfully fixed these using 2ab-Suzaku⁺ and 3b-Suzaku⁺, respectively, which are summarized as follows.

2ab-Suzaku⁺. In order to keep the positive situation 2a-ASCA⁺ and solve negative situation 2b-ASCA⁻ (i.e., avoiding animal software), the public tools and tools for ground calibration measurements were designed to have the core algorithms for calculating variables such as time, pulse-height invariant (PI),⁹ and grade, shared as software libraries. The IT members developed and verified these core libraries via hardware development, and the same libraries were smoothly exported into public tools that could be used by the GOs. Therefore, the public tools were well verified and well calibrated.

3b-Suzaku⁺. In order to improve situation 3b-ASCA⁻, a Suzaku Help Desk in Japan (at RIKEN)¹⁰ were operated in addition to the Suzaku GOF in the USA. Since several members of the Suzaku Help Desk also belong to the ITs in Japan, these two bodies had a strong potential for solving questions from GOs very quickly because of their tight connection to the operation team and developers of instruments.

In addition, starting from Suzaku, communication was established with other x-ray missions in terms of calibration activities (keeping 4b-ASCA⁺), as indicated as 4c-Suzaku⁺ as follows.

4c-Suzaku⁺. The Suzaku instrument members participated from the beginning in cross-calibration activities in the International Astrophysical Consortium for High Energy Calibration (IACHEC).¹¹

However, the following two negative points related to 2ab-Suzaku⁺ and 3b-Suzaku⁺ arose in the Suzaku science operations and were left as open issues for the next mission (Hitomi).

2c-Suzaku⁻. Software development by the ITs in 2ab-Suzaku⁺ caused (a) unexpected software freezes and (b) delays in the delivery schedule, because (a) not all the instrument members were experts on programming, and (b) the first priority of the ITs was the delivery and maintenance of the detector itself, with software development having a lower priority.

3c-Suzaku⁻. The members of the Suzaku Help Desk in Japan (3b-Suzaku⁺) were not appointed by the agency and had no special data-access permission. Therefore, the tasks were performed on a best-effort basis and sometimes activity stopped because of other business.

2.4 Lessons Learned from Hitomi

The Hitomi mission was the sixth in the series of Japanese x-ray satellites developed at JAXA in collaboration with NASA and Japanese and Canadian institutions with contribution from the ESA² and carried an micro-x-ray calorimeter array and x-ray CCD cameras on the focal plane of x-ray mirrors, as well as hard x-ray instruments with hard x-ray mirrors and a soft gamma-ray detector. The satellite was successfully launched in February 2016, but contact with the spacecraft was lost in March 2016 owing to problems in the bus system before the performance verification (PV) phase. Therefore, the most of the science operations after launch were not activated, as indicated by 1a-Hitomi[±], 3-Hitomi[±], and 4-Hitomi[±] as follows.

1a-Hitomi[±]. Opportunity for calling for GO proposals was canceled, although the distribution of the in-orbit data was completed (keeping 1b-ASCA⁺).

3-Hitomi[±]. GO support helpdesks were prepared but not activated.

4b-Hitomi[±]. Calibration of instruments was performed on the ground and partially in orbit during the commissioning phase, and the results were released in the calibration database.

In the science operations of Hitomi, positive lessons from ASCA and Suzaku were kept in the activities under the above situations, including participation in the IACHEC (4c-Suzaku⁺). In parallel, the science operations members tried to solve negative item 2c-Suzaku⁻ (leaving

3c-Suzaku⁻ open when the mission terminated), and it was solved as 2c-Hitomi⁺ with 2d-Hitomi⁻ left as an open issue as shown as follows.

2c-Hitomi⁺. In order to avoid 2c-Suzaku⁻ (unexpected software freeze and schedule delay), a specific team was defined for the development of software and the calibration database. The team was the Hitomi Software and Calibration Team (SCT) and was independent from the ITs and consisted of scientists and programmers. As a result, there were no delays in the schedule of software preparation and no delay in the release of tools and the calibration database. The products were well calibrated using instrument-specific methods,^{12–21} because all the algorithms were imported into the analysis software and the latest calibration information was quickly released in the database.⁵

2d-Hitomi⁻. In order to achieve 2c-Hitomi⁺, there were many interactions among the SCT, ITs, and operation teams, which were spread across multiple agencies. Therefore, many more tasks than expected were required in order to manage tasks for science operations, such as the schedule, manpower of activities, and interfaces.

2.5 Recommendations for XRISM Science Operations

In summary, from the lessons learned from ASCA, Suzaku, and Hitomi missions, two items labeled 2d-Hitomi⁻ (team management issues) and 3c-Suzaku⁻ (data access rights in users support) remain as open items for the XRISM science operations, with the remaining items recommended to remain unchanged. The two items are related to the management of manpower and the preparation of science operations before launch.

3 Concept for the XRISM Science Operations

Based on the lessons learned from the past x-ray missions and recommendations for XRISM operations (Sec. 2), we established the XRISM operations concept, as described in this section.

3.1 Key Points of the XRISM Operations Concept

Considering the recommendations from lessons learned from past x-ray missions (Sec. 2), the key points of the XRISM operations concept can be summarized into the following three items.

OC01. Clear division between spacecraft operations (hereafter, “mission operations”) and science operations is required so that the scientists can concentrate on science operations.

OC02. The plans for the operations (both mission and science operations), including the team structure and interfaces, should be defined in an early phase before launch. Similarly, training and actual operations should start before launch.

OC03. All members of the operations (both mission and science operations) should be appointed by the agencies, and all activities, except for PVO activities (see Sec. 2.1), should be performed as well-defined tasks with clear due dates, that continue to work until the end of the mission.

3.2 Task Division between Mission Operations and Science Operations

In operations concept OC01, operations tasks that require scientific decisions from the viewpoints of scientists are all assigned as XRISM science operations, and all other tasks are assigned as XRISM mission operations. For example, the weekly negotiation of contact passes of ground stations, generation of daily operation commands, execution of the spacecraft simulator, down-link-and-command operations at ground stations, and checking the house-keeping telemetries from the spacecraft in real time and offline are assigned as tasks for mission operations. On the other hand, the handling of proposals from GOs, scientific scheduling of astrophysical objects, quick-look checks of science telemetries, instrument calibration activities (however, the calibration activities were shared among XRISM-internal groups, as described later in Sec. 4.1.), and the management of daily data process, and archive operations and user support activities are considered tasks for science operations.

We defined individual teams for performing XRISM mission operations and XRISM science operations separately, which are called the Mission Operations Team (MOT) and Science Operations Team (SOT), respectively. Following the lessons of 2c-Hitomi⁺, these teams also need to be independent from the ITs. In addition, we defined the Science Management Office (SMO) to manage the overall XRISM science operations for deciding items regarding science operations. For example, the SMO handles activities such as calling for, reviewing, and selecting GO proposals, and approving targets for director time-of-opportunity (ToO) observations.

3.3 Operation Phases and Team Structure

In operations concept OC02, the operation phases of the XRISM are defined as follows.

1. Before proto-flight test (PFT) phase.
2. PFT phase.
3. Launch preparation phase and launch phase.
4. Initial phase, which consists of the critical operations period and commissioning period.
5. Nominal operations phase, which consists of the initial calibration and PV period and nominal observation period.
6. Latter phase, which consists of the latter observation period and completion of operations.

Based on concept OC02, the phase in which science operations starts should be the (2) PFT phase not the (5) nominal operations phase after launch. In other words, preparation of the mission and science operations should be completed (1) before the PFT phase on the ground, and the MOT and SOT shall start from (2) the PFT phase. Therefore, we define the Mission Operations Planning Team (MOPT) for preparing the mission and science operations [e.g., construction of the detailed operations plan and preparation of the operation tools (OTs) and ground system], which is active from the (1) before PFT phase.

According to operations concept OC03, all members of the MOT and SOT should be appointed by the agencies (JAXA or NASA) and work on well-defined tasks under a managed schedule until the end of the mission, although members of the MOPT may be non-appointed members from universities as developers of OTs in the (1) before PFT phase. The SOT members consist of not only leader(s) and senior scientists, but also young scientists, referred to as duty scientists, who perform the actual XRISM science operations and are appointed by the agencies. In our concept, the tasks of the duty scientists should be defined such as to provide a good career path for young scientists. Note that the concept of the duty scientists is applied only on the Science Operations Center (SOC) in Japan as described in Sec. 4.

4 Design of the Team and Management Structure of the XRISM Science Operations

Based on operations concepts OC01, OC02, and OC03 in Sec. 3, we defined the details of the structure of the SOT and the interfaces and task divisions among the subgroups of the XRISM Team, which are described in this section.

4.1 Interface Structure between Subgroups and the SOT

In addition to the SOT, MOT, and SMO described in Sec. 3, the XRISM Team consists of the ITs, namely, the Resolve and Xtend Teams, and the In-flight Calibration Planning Team (IFCP),²² which provides the detailed plans for in-orbit calibration observations before launch. Interactions between these subgroups after the PFT phase (Sec. 3.3) are summarized in Fig. 1.

The SOT is directed by the project manager (PM) and works with the SMO, which provides recommendations and specifications for science operations as established in the concept study in Sec. 3. The SOT does not communicate directly with the Science Advisory Committee but rather

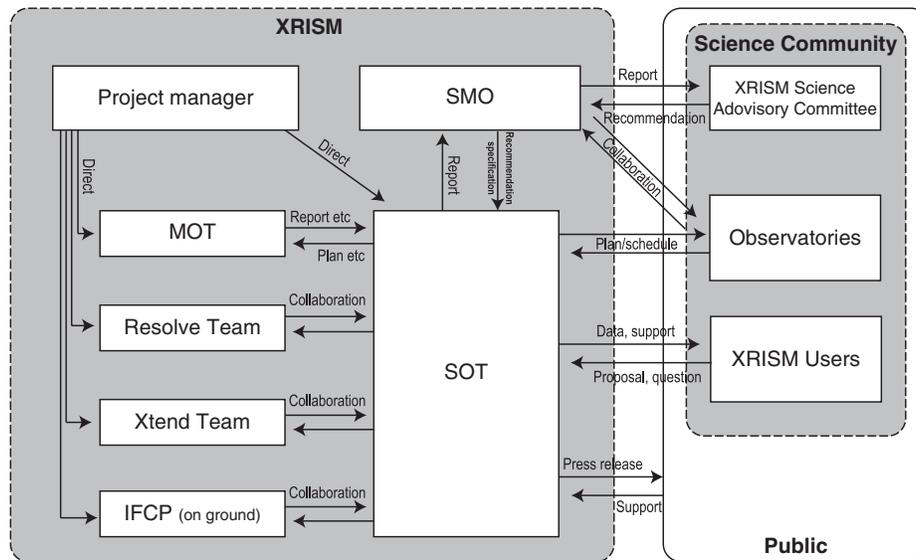


Fig. 1 Interactions between the SOT and other internal subgroups in the XRISM Team after the PFT phase. The scientific community and public are also shown on the right.

through the SMO. The SOT communicates with the MOT regarding tasks such as the planning of spacecraft operation, verification of telemetry data, and reports. Several tasks such as in-orbit calibration observations and instrument performance monitoring are performed in collaboration with the Resolve, Xtend, and IFCP Teams. The SOT communicates with GOs directly regarding the acceptance of proposals and user support activities. Communications with other observatories (i.e., x-ray missions and/or observatories in other wavelengths), negotiations for in-orbit calibration campaigns, and/or multi-wavelength scientific programs are handled by the SMO, and communications on actual observation plans/schedules are handled by the SOT. Similarly, decisions regarding press releases of scientific outputs or mission status are made by the SMO, and the actual work of the publications is done by the SOT members under the direction of the SMO.

The calibration activities of payload instruments consist of many steps, and the task divisions among the SOT, ITs, and IFCP Team are defined as Table 2.

4.2 SOT Structure and Task Divisions

The XRISM science operations are covered by JAXA, NASA, and the ESA. Since tight collaboration between JAXA and NASA is required for preparation and maintenance of the data distribution (SO1 in Sec. 2.1) and analysis software and the calibration database (SO2 in Sec. 2.1), the SOT is designed to operate at the SOC at JAXA and the Science Data Center (SDC)²³ at NASA, as shown in Fig. 2. Each center is made up of leads, scientists, and software engineers. In the SDC, the product development lead and the science lead direct the SDC internal groups, namely, the Data Center Team, GOF, and User Support Group. The SOC is activated after the PFT test phase (see Sec. 3.3), and the SOC lead directs SOC members, such as the duty scientists defined in Sec. 3.3 and supporting scientists from the MOPT, after the PFT phase. Before launch, the science part of the MOPT is also under the direction of the SOC lead and consists of three groups: the process and plan group, the PVO group, and the user support group. In addition, the ESA operates the European Space Astronomy Center (ESAC) from the before-PFT phase and communicates with the SOC and SDC for the science operations in Europe.

The task divisions among the three centers in JAXA, NASA, and ESA are defined in Table 3 and summarized into four categories (SO1, SO2, SO3, and SO4 in Sec. 2.1). The details of these tasks are described in Sec. 5.

Table 2 Task division among the SOT, ITs, and IFCP Teams on the calibration activities.

Calibration tasks	Subgroup name
Preparation of calibration requirement	ITs
Review calibration requirement from viewpoint of flow down from mission science objectives	SOT
Ground calibration tests	ITs and bus company on timing system
Analyses of ground calibration data	ITs with SOT and bus company with SOT on timing system
Prepare prelaunch calibration database	ITs with SOT
Release prelaunch calibration database	SOT
Preparation of in-orbit calibration plan	IFCP ^a
Schedule of in-orbit calibration targets	SOT
Spacecraft operation of in-orbit calibration targets	MOT
Analyses of in-orbit calibration data	SOT and ITs with PVO contributor ^b
Preparation of calibration database	SOT and ITs
Release calibration database	SOT

^aThe IFCP Team is active before launch.

^bThe PVO contributor is defined in Fig. 3 in Sec. 4.3.

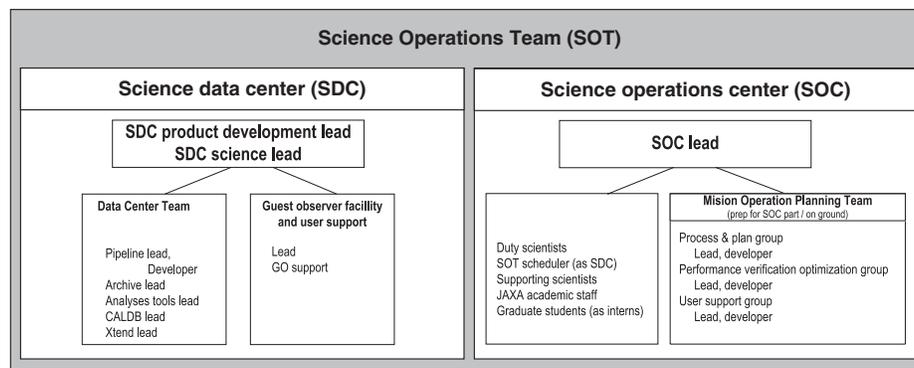


Fig. 2 Structure of the SOT.

4.3 Management Structure

All specifications of the overall science operations are handled by the SMO, and therefore, the mission principal investigator (PI) and co-PI (JAXA/NASA), project scientists (JAXA/NASA/ESA), deputy project scientists (JAXA/NASA/ESA), and all the leaders of the internal subgroups (SOT, Resolve Team, and Xtend Team) except for the MOT and IFCP Team in Fig. 1 belong to the SMO Committee in the nominal operations phase, as shown in Fig. 3. The PMs both in JAXA and NASA also belong to the SMO as ex officio. Before launch, leaders of the IFCP Team, chairs of the science categories, which are active in the selection of the PV targets, and chairs of subgroups for specific topics such as atomic physics also participate in the SMO.

Following concept OC03 in Sec. 3.1, the members marked by ☆ in Fig. 3 are appointed by the agencies, namely, JAXA or NASA (or the ESA). Within the SOT, the SOC plans to have eight duty scientists (see Sec. 3.3), as well as support scientists from the MOPT (Sec. 3.3) and/or

Table 3 Task division between SOC, SDC, and ESAC in the XRISM science operations.

Category	SOC	SDC	ESAC
SO1	Proposal support (JAXA)	Proposal support (USA/Canada)	Proposal support (Europe)
(GO)	Web pages for submission	Web pages for submission Proposing systems and tools	Web pages for submission
	Proposal support	Proposal support	Proposal support
	Supporting review process	Supporting review process	Supporting review process
(Data)	Observation scheduling Planning operations	Observation scheduling Planning operations	
	ToO handling MOT interface	Planning tools and personnel	
	Prepipeline process Format conversion	Pipeline process Filling calibrated columns	(No data process)
	Observation database	Metadata for archive	
	Archiving at JAXA Data archive	Archiving at NASA Data archive	(No archive)
	Quick-viewing tool	Calibration database release	Quick-viewing tool
SO2 (Software)	Telemetry check Health check	Development of analysis tools	
	Performance check	Maintenance of calibration database	
SO3	User support (JAXA)	User support (USA/Canada)	User support (Europe)
(Support)	Handling GO questions	Handling GO questions	Handling GO questions
	User guide documents	User guide documents	Documentation
	Researcher webpages	HEASARC webpage	ESAC webpage
	EPO support	EPO support	EPO support
SO4 (PVO)	Calibration operations Analyses Monthly plan	Calibration support Analyses	
	Performance check	Performance check	Performance check
	Analysis threads Daily/monthly check	Analysis threads Postprocess development	Analysis threads
	Xtend transient search		

JAXA academic positions to help the duty scientists, as described in Sec. 4.2. In the SDC, more than seven staff scientists and more than four software engineers will perform the science operations at NASA. All members of the SOC and SDC are appointed by JAXA and NASA, respectively, in the nominal operations phase.

4.4 Data Access Policy for Science Operations

In the science operations performed by the SOT, SOT members have access to all of the telemetry items including scientific properties in order to check the performance of the instruments and to make quick-look reports to GOs. These activities are limited to monitoring or checking of the instrument health and performance and do not extend to performing scientific analyses of the

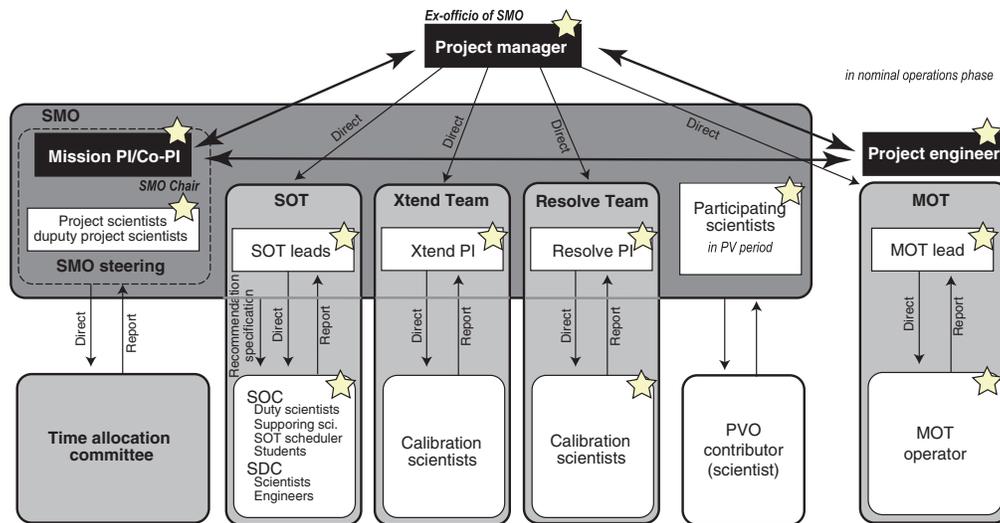


Fig. 3 Organization structure of the XRISM science operations during the nominal operation phase.

scientific interests of scientists. The SOT members also check all the proposals approved by the SMO and their scientific justifications. Although SOT members can access all the data and products to the extent that such access is required to perform their duties, they are required to maintain confidentiality of all scientific knowledge obtained in this context. The SOT members shall understand this data access policy in all of the science operations.

5 Details of the Science Operations Plan

Following operations concept OC02 (Sec. 3.1), the detailed plan of the XRISM science operations is constructed as described in the following sections under the team structure defined in Sec. 4, well before the launch during the before PFT phase (Sec. 3.3).

5.1 Summary of the Science Operations Scenario

All the tasks for the XRISM science operations are defined in terms of the four types of science operations defined in Sec. 2.1 (i.e., SO1, SO2, SO3, and SO4) in Table 4, which can be categorized into the following three-step operation flow:

- Step 1:* proposal and planning step (before observation);
- Step 2:* telemetry check and data processing and archive step (after daily spacecraft observation);
- Step 3:* user support and PVO step (after distribution of the observational data to GOs).

These steps are performed in parallel with observations and are operated both by the SOT and MOT, with various timescales (once per year, monthly, weekly, daily, and continuous), as also shown in Table 4. The tasks for the SOC in Japan (see Table 3) are shared among the duty scientists and the SOT scheduler (who works on planning as a contribution from SDC staying at SOC; Figs. 2 and 3) evenly by week or month. For example, one duty scientist performs the first task, which is then performed by another duty scientist the following week.

5.2 Details of Tasks in Step 1 before Observation

Most of the tasks in step 1 before observation are of type SO1 (defined in Sec. 2.1), which can be divided into the following two categories. The details are as follows.

- *GO proposal support*

Table 4 Tasks for XRISM science operations for the steps of observations (see the text in Sec. 5.1) in the nominal operations phase. Types of science operations are defined in Sec. 2.1.

Step	Type	Category (frequency)	Tasks	Subgroup
Step 1	SO1	GO proposal support (year)	Call for proposals and receive proposals	SOT
	SO3		Support GO proposals	SOT
	SO1		Support review process	SOT
	SO1		Handle approved target	SOT
	SO1	Observation planning (monthly, weekly, and daily)	In-orbit calibration planning	SOT
	SO1		ToO handling	SOT
	SO1		Observation scheduling (long/short term)	SOT
	SO1		Coordination of observations	SOT
	SO1		MOT I/F for operation command generation	SOT
NA	N/A	Spacecraft operation (daily)		MOT
Step 2	SO2	Telemetry check (daily)	Process quick-look data (QLDP)	MOT
	SO2		Health check	MOT and SOT
	SO4		Instrument performance check	SOT
	SO1	Data process (daily)	Format conversion (PPL)	MOT and SOT
	SO1		Calculate calibrated columns (PL)	SOT
	SO1		Observation database/archive metadata	MOT and SOT
	SO2		Product check	SOT
	SO1		User notification	MOT
	SO1		Archive (daily)	Data publication
Step 3	SO3	User support (daily)	Researcher webpages	SOT
	SO3		Handling GO questions	SOT
	SO3		Documentation	SOT
	SO3		EPO support	SOT
	SO4	PVO activities (daily)	Calibration analyses	SOT
	SO4		Monthly performance check	SOT
	SO4		Development of analysis threads and tools	SOT
	SO4		Xtend transient search	SOT

- The SOT supports calls for proposals by the SMO and receives proposals from GOs. During proposal acceptance, the SOT supports GOs with preparation of proposals (SO3).
 - After the review process, the SOT gets a prioritized approved target list from the SMO. In parallel, the plan to observe the in-orbit calibration objects are merged into the list. The SOT puts information regarding approved targets and calibration objects into the observation database and opens the list via the webpages of the researchers.
- *Observation planning*
 - After the SOT obtains the list of targets, the SOT scheduler (defined in Sec. 5.1) generates a long-term operation plan taking into account the spacecraft operational constraints.
 - Using the long-term plan as a guide, the SOT generates a more detailed short-term observation schedule weekly and prepares the detailed plans for observations for the week.
 - During preparation of the observation details, the SOT notifies the observation PI of the plan and negotiates the hardware configuration with the ITs.
 - In addition to the planned objects, the SOT handles ToO proposals from GOs. If the SMO approves a ToO proposal, the short-term operation plan is quickly updated and used for the spacecraft operations.
 - Before spacecraft operations, the SOT acts as an interface to the MOT from SMO and GOs on scientific topics for the generation of operation commands to the spacecraft.

5.3 Details of Tasks in Step 2 after Observation

The tasks in step 2 after observation are a mixture of types SO1, SO2, and SO4 (Sec. 2.1) and can be divided into the following three categories. The details are as follows.

- *Telemetry check*
 - Telemetry from the spacecraft needs to be checked quickly after spacecraft operation. In order to quickly perform these telemetry checks before the official data processing for GOs, which takes about one or two weeks in total, a quick-look data process (QLDP) is defined to generate products quickly. The QLDP simplifies the timing calibration, orbit determination, and attitude determination processes from the official data processing.
 - The MOT executes the QLDP and performs the quick health checks of instruments using the housekeeping telemetry semiautomatically. This function checks every value of the attribute in the engineering housekeeping telemetry to verify the proper and safe operation of the observatory at all times. If the MOT find an anomalous telemetry for the spacecraft safety from this limit checks, they will respond immediately as an emergency operation. In any cases, the MOT reports the results to the SOT daily after the spacecraft operation.
 - In addition to the engineering health checks by the MOT, further checks of the performance of payload instruments from a scientific viewpoint are also required for the SOT. The SOT uses the products from QLDP of not only the housekeeping telemetry but also the science telemetry, performs the pipeline-equivalent process to calculate data such as the time, coordinate, and energy information; and then checks the instrument performance.
- *Data Process*
 - After spacecraft operation, telemetry is converted into the FITS format⁴ by the pre-pipeline (PPL) process, and then higher-level calculations, such as filling time, coordinate, and energy information (PI),⁹ are performed by the pipeline (PL) process. (Note that the details of the PPL and PL are described later in Sec. 6.2.1.) The

products of the PPL and PL are archived and distributed to GOs. Execution of the PPL and PL is performed by the MOT supported by the SOC and the SDC, respectively.

- The products of the PPL and PL are checked by the SOT before the distribution to GOs.

- *Archive*

- The products of the PPL and PL checked by the SOT are archived both in JAXA and NASA Archive Centers, the Data Archive and Transmission System (DARTS), and the High Energy Astrophysics Science Archive Research Center (HEASARC), respectively.
- When the products are archived, the SOT notifies the readiness to GOs.

5.4 Details of Tasks in Step 3 after Data Distribution

The tasks in step 3 after data distribution are of types SO3 and SO4 (defined in Sec. 2.1), which can be divided into the following two categories. The details are as follows.

- *User support*

- The SOT prepares and operates webpages for GOs to provide information on GO proposals, operation schedules and logs, analysis documents, etc. Such researcher websites for XRISM are prepared at the three agencies, JAXA, NASA, and ESA, separately but main contents are synchronized.
- The SOT operates the agency Help Desks for handling questions from GOs.
- The SOT prepares documents related to the data analyses of XRISM, such as analysis walkthrough, analysis manuals, and descriptions of instruments.
- Education and Public Outreach (EPO) activities are performed by other institutes in JAXA or NASA, and the SOT supports such activities for XRISM.

- *PVO activities*

- As defined in the task division of the calibration activities in Table 2, the SOT calibrates payload instruments regularly with the ITs using the in-orbit calibration targets or trend archive data (i.e., non-scientific data obtained for performance monitoring, such as data during earth occultation of normal operations).
- In addition to the daily performance checks in step 2, the SOT also monitors the instrument performance monthly.
- The SOT enhances the instrument performance (such as improving the pointing accuracy and tuning of the good time interval) by checking short-/long-term trends and correlations between telemetry items and performance parameters. The output of such performance enhancement activities is implemented as an analysis thread or a new analysis tool, which is provided to GOs via the researcher website or the software archive.
- During the daily data checks in step 2 (Table 4), the SOT carries out further analyses to search for possible new transient objects within the FOV of Xtend using the quick-look data products and the final products. If the SOT finds a transient and the SMO considers it worth reporting, the SOT posts a quick report to the Astronomers Telegram (ATel)²⁴, from QLDP products, and updates the detailed information using the PPL/PL products if necessary. This activity is performed during the initial calibration and PV period under the permission of the observation PI during the nominal observation period.

6 Preparation for Science Operations on the Ground

Following the science operations plan in Sec. 5, the MOPT (Sec. 3.2) prepares science operations well before the launch along the timeline of the science operations (Sec. 6.1): i.e., the MOPT

prepares the OTs for science operations (Sec. 6.2), science operations manual, and website (Sec. 6.3) and performs the PVO activities from before launch (Sec. 6.4). This section describes the preparation status at the end of the (1) before PFT phase (see Sec. 3.3) on the ground.

6.1 Timeline of the Science Operations Preparation

In each operation phases defined in Sec. 3.3, the MOPT and SOT prepare and/or perform the science operations following the timeline shown in Table 5, respectively. Before the launch, on ground, the MOPT prepares the descriptions for science operations, such as the science operations plan, the manual for the science operations, and the detail design of the OTs, and develops and verifies the OTs in the before PFT phase and then trains the SOT members in the PFT phase. The list of targets to be observed during the initial calibration and PV period in the nominal operations phase (Sec. 3.3) is released during this phase. The PV target list has been released on February 15, 2021. After the launch of the satellite, the SOT supports the critical and commissioning operations by the MOT and ITs during the initial operations phase, prepares the data

Table 5 Timeline of the XRISM science operations. The type of science operations are defined in Sec. 2.1.

Phase	XRISM	SO1 (GO, data)	SO2 (software)	SO3 (support)	SO4 (PVO)	
Before PFT	Reviews	Prepare science operations plan and manual Design and verify OTs			Review CP ^a	
		Release PV list		Open webpages	Prepare IFCP	
PFT	PFT	Verify OTs Update manual	Process data Update manual	Update guide Update webpages		
		End-to-end	Training science operations members			
Launch	Launch	Preparation of call for proposal		Update webpages		
		Release first version of software and calibration database				
Initial	Critical period	Internal process		Check telemetry		
		Commissioning period				
		Internal process		Check telemetry	Update webpages	
		First light	Process data	Press release		
Nominal	Initial calibration/PV period					
	ERS ^b	Process data	Check telemetry		Calibration	
		Release ERS		Update webpages	Search transient	
	Preparation of call for proposal					
Nominal observation period						
		Process data	Check telemetry	Update webpages	Calibration	
		Release PV/GO		Helpdesk	Search transient	
	Preparation of call for proposal					
Latter	Observation	Release/update software and calibration database				
		Continue the activities in the nominal operations phase				

^aCP represents the calibration plan of instruments.

^bERS represents the early release science targets in the PV period.

Table 6 List of science OTs and databases. Steps are defined in Sec. 5.1.

Tool ID	Tools/database	Step	SOT subgroups
OT01	Proposal submission tools	Step 1	SDC, (SOC and ESAC as users)
OT02	Planning tools	Step 1	SDC with SOC
OT03	Observation database	Steps 1 and 2	SOC
OT04	QLDP	Step 2	SOC
OT05	PPL	Step 2	SOC
OT06	PL	Step 2	SDC
OT07	Calibration database	Steps 2 and 3	SDC with SOC and ITs
OT08	Archive quick-viewing tool	Step 2	SOC, (HEASARC), ESAC
OT09	Analysis tools	Step 3	SDC with SOC and ITs
OT10	Conversion tools for researcher webpages	Steps 1, 2, and 3	SOC

process of the first light object at the end of commissioning period, and, after that, performs the nominal operations in the normal operations phase.

6.2 Tools for Science Operations and Detailed Designs

The tools and database required for the XRISM science operations by the steps (Sec. 5.1) are summarized in Table 6. The responsibilities for these tools/databases in the subgroups within the SOT are also shown. Among these 10 OTs, the proposal submission tools, planning tool, PL, calibration database, and analysis tools (OT01, OT02, OT06, OT07, and OT09, respectively) are developed by the SDC, and the details of these OTs are described by Loewenstein et al. (2020).²³ Hereafter, this paper describes the details of the observation database, QLDP, PPL, and archive quick-viewing tool (OT03, OT04, OT05, and OT08) in Sec. 6.2 and the conversion tools for the researcher webpages (OT10) in Sec. 6.3.

6.2.1 Prepipeline and pipeline process

Since the raw telemetry from the spacecraft is a collection of space packets, which are unreadable by the standard analysis tools used in high-energy astronomy, they need to be converted into the standard FITS format⁴ for distribution to GOs, as described by Angelini et al. (2018).⁵ In addition, the GOs need calibrated information on variables such as time, coordinates, and pulse height invariant (PI,⁹ energy information), which are filled in by the data processing. This corresponds to the functions of (a) format conversion and (b) filling calibration columns. The data processing is divided into two steps: PPL (OT05 in Table 6) and PL (OT06 in Table 6) as shown in Fig. 4 to archive functions (a) and (b), respectively.

The raw telemetry from the spacecraft is stored in the SIRIUS database, and all the information regarding approved targets, instrument configuration, and other spacecraft information are stored in the observation database (ODB in Fig. 4; OT03 in Table 6). The PPL accesses the SIRIUS database via the Space Data Transfer Protocol (SDTP) to retrieve the telemetry and first converts the telemetries into a raw packet telemetry (RPT) file, which is a simple dump of the series of space packets in the variable-length FITS format, using the information from the observation database (OT03). In the second step, the PPL interprets the telemetry attributes in the space packets using the telemetry-description database, shown as the “Spacecraft Information Base version 2 (SIB2) database” in Fig. 4, and converts the RPT into the first FITS files (FFFs) with meaningful columns. The FITS header keywords of FFFs represent the instrument configurations as identified from the telemetry and observation database. After the PPL process,

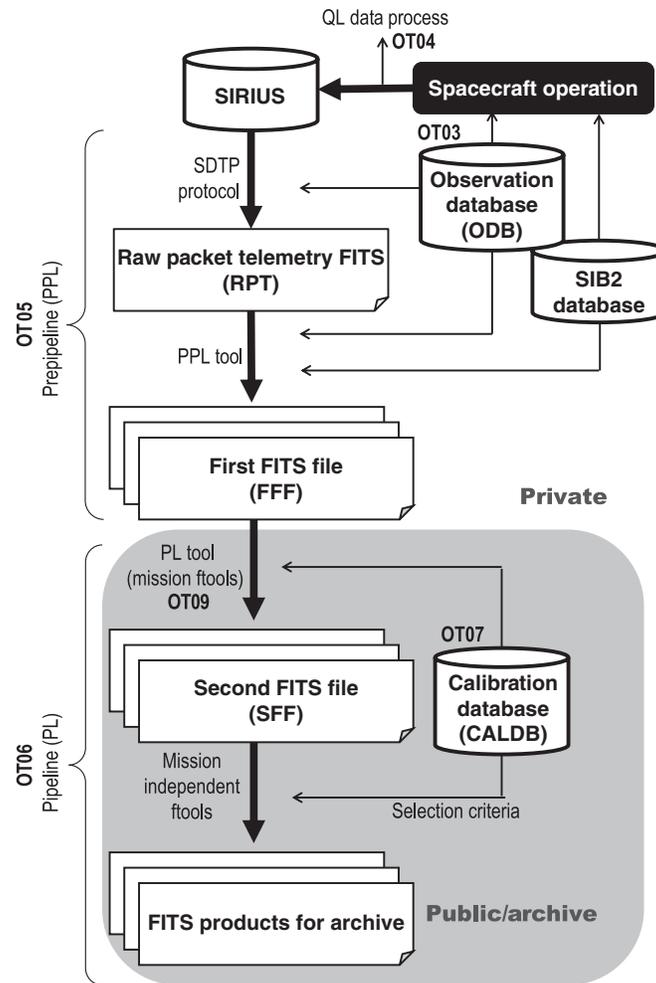


Fig. 4 Schematic view of the flow of data processing for the XRISM (and Hitomi, Suzaku) in step 2 and OTs.

the PL fills in the calibration columns, such as time, coordinates, and PI, using the FITS tools called ftools in the HEASOFT XRISM package released from HEASARC by using the calibration database (denoted as “CALDB” in Fig. 4; OT07 in Table 6) and stores them in the second FITS files (SFFs). The PL process then continues to extract the cleaned-event FITS for analyses from the SFFs by deleting low-quality events and by selecting good-time intervals.

The key point of this procedure is that the FFFs have the same format as SFFs (i.e., FITS columns for time, coordinates, and PI are already prepared as blank columns in the FFF stage) and the CALDB and ftools are all distributed to GOs (i.e., public), so that the GOs can reprocess the SFF with the latest calibration information by themselves. This concept was established in the Suzaku science operations and also used in Hitomi successfully. The XRISM data process also follows this procedure.

The PPL requires inputs from the mission operation information, such as the definition of the telemetry format, the orbital estimation, the attitude determination, and the time calibration. Therefore, the PPL software for the XRISM mission is prepared and executed by the SOC at JAXA, where the mission operations are performed and the operation information is easily accessible from the SOT. The FFFs are then sent via a data transfer system protocol²⁵ to the SDC and processed in the PL at the SDC, as already described in the task division (Sec. 4.2).

6.2.2 Design of tools for the PPL and QLDP

Since the QLDP (OT04 in Table 6; Sec. 5.3) is the simplified version of the PPL (OT05), these tools can be shared with each other just by switching the execution mode. The PPL and QLDP

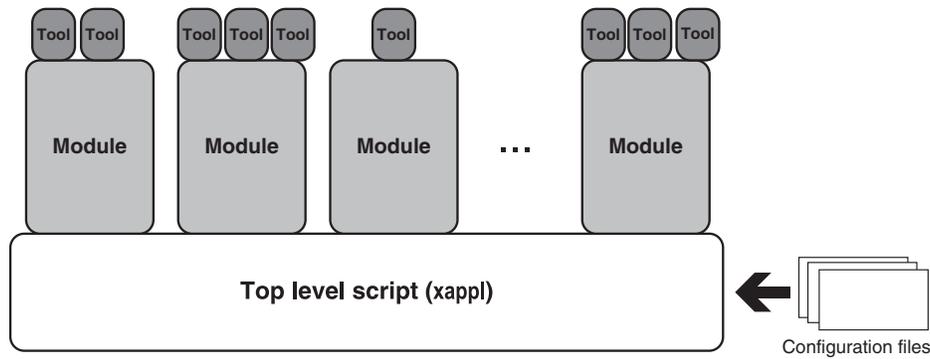


Fig. 5 Structure of the PPL and QLDP.

are designed to have a structure consisting of three stages of tool, modules, and top-level script, as shown in Fig. 5, and the difference between PPL and QLDP is designed to be absorbed in the top-level script. A tool is the smallest unit of the software code, and a module is a collection of tools to achieve one function (for example, generation of RPT and generation of time calibration fits). The top-level script controls the process flow of multiple modules using the configuration files, with which the detail flow of PPL or QLDP are described.

Since XRISM is a recovery mission for Hitomi, the tools have already been developed and verified and can be reused for XRISM. However, the Hitomi PPL is not easy to maintain because the Hitomi SCT (Sec. 2.4) was forced to use it during the commissioning phase for the unplanned spacecraft problems, and the Hitomi PPL has many patches for complex hardware modes during the commissioning phase, even though it was well designed for use in the nominal operations phase. Therefore, the MOPT decided to reorganize the PPL flow diagram and to newly develop the modules and top-level script for XRISM.

In detail, the MOPT defined the following 14 modules corresponding to the 14 functions required for the PPL and QLDP of XRISM. Using these modules, the typical flow diagrams for the PPL and QLDP in the flight configuration are designed as shown in Figs. 6 and 7, respectively. In the QLDP, several modules are omitted in the process flow and the access point to retrieve the telemetry via SDTP is different from that for PPL, as well as the inputs for the time assignment tool and orbital-file generation tool. In addition, the MOPT also identified 17 use cases for the ground tests and operations in orbit.

1. data processing setup module;
2. raw telemetry packet processing module;
3. spacecraft-bus data processing module;
4. Resolve data processing module;
5. Xtend data processing module;
6. time calibration data processing module;
7. time assignment process/library;
8. attitude data processing module;
9. orbit data processing module;
10. operation-command data processing module;
11. good-time-interval generation and slew/pointing-division module;
12. common header management module;
13. observation-database interface module;
14. package processing module.

6.2.3 Design of tools for the archive quick-viewing tool

For the data archive for XRISM, it is important to define the division of tasks between the XRISM project and the archive centers of the agencies. In the archive activity at JAXA, the MOPT defined the task division between the SOT and the Center for Science Satellite

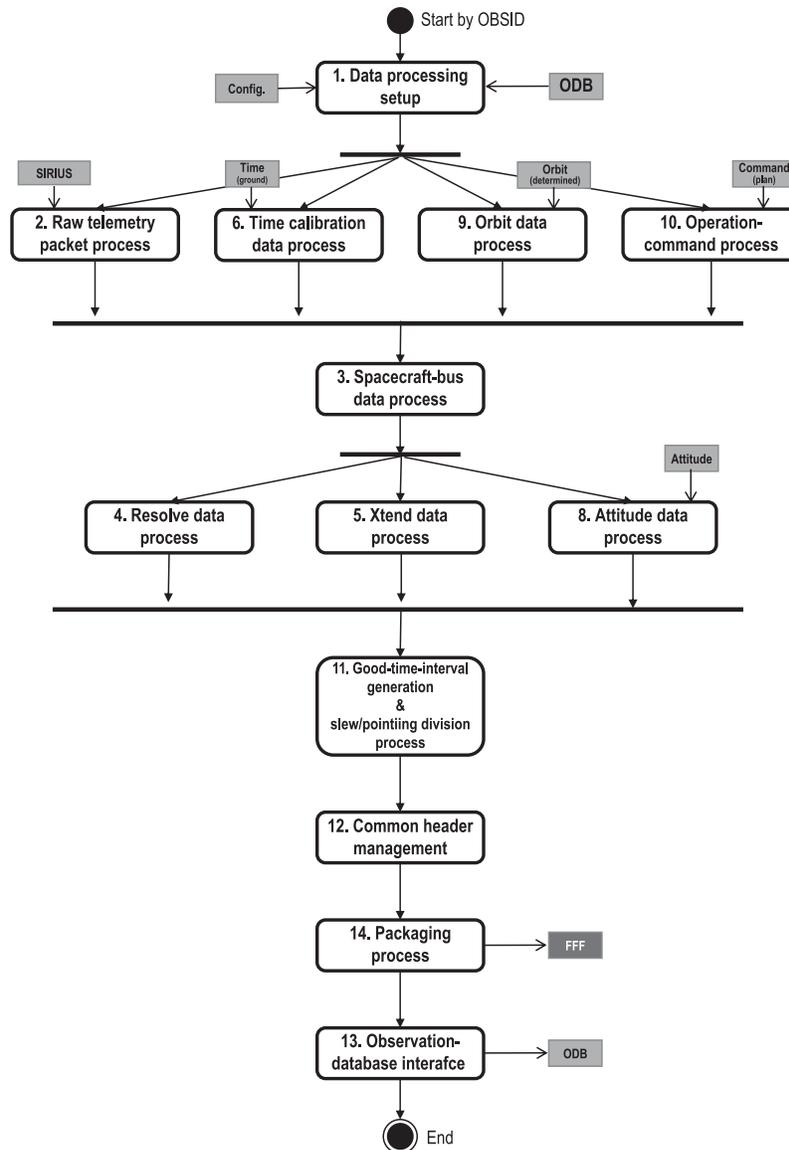


Fig. 6 Flowchart of the PPL process.

Operation and Data Archive (C-SODA) at ISAS/JAXA, as summarized in Table 7. In principle, content is provided by the XRISM project and preparation of mission-independent systems and infrastructure are made by C-SODA at ISAS/JAXA.

Among the tasks by the SOT, the data preparation tasks (Table 7) are performed by the PPL and related tools OT05, and the project introduction page and public data list tasks in Table 7 are performed manually by the SOT. Therefore, additional OTs for the archive activity are a generation tool for (a) metadata for data search and (b) hierarchical progressive survey (HiPS) data for quick viewing, which are identified as OT08 in Table 6.

6.3 Preparation for User Support

Researcher webpages are required for communication with GOs for the user-support activities listed in Table 4 and are planned to be operated in three centers: SOC, SDC, and ESAC. In the first version, the following content is listed on the researcher webpages at SOC:

- top page, news, and announcements;
- about XRISM (XRISM documents, workshops, publication list, and resources);

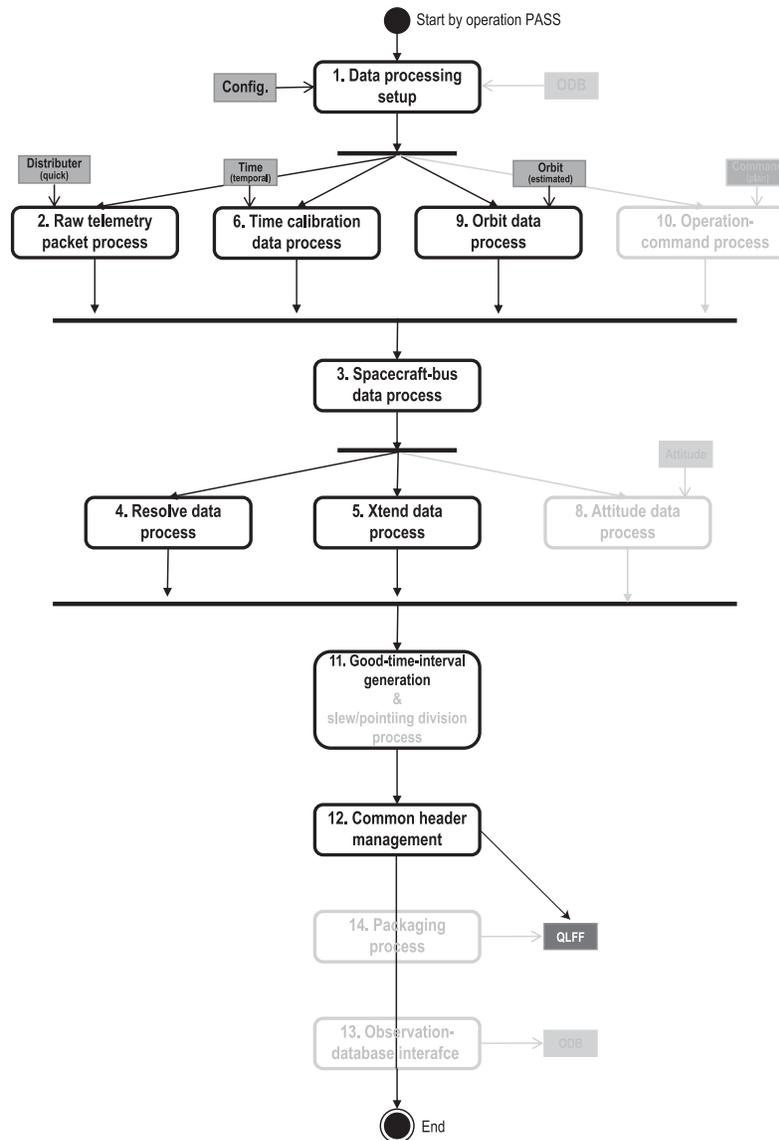


Fig. 7 Same as Fig. 6, but for QLDP. Gray colors represent the modules omitted in QLDP.

- proposer (GO proposal documents, response files, generic ToO request, and approved target list);
- observers (short-term/long-term operation plan and spacecraft operation log);
- analysis (manual, link to archive web, and link to download page for software/calibration database);
- XRISM Help Desk (frequently asked questions (FAQ), proposal plan support, analysis questions, and XRISM workshops);
- useful links (link to general public XRISM website, DARTS archive website, HEASARC website, and ESAC website).

The MOPT prepares the web servers and the tools for filling the content of the pages of observation plan and spacecraft operation log semiautomatically. These tools are identified as OT10 in Table 6. The JAXA researcher webpage was opened on November 1, 2020, in Ref. 26 and is used for announcements to GOs before launch and is to be activated on the science operations after launch.

Table 7 List of tasks for archiving XRISM data at JAXA and division of tasks between SOT and C-SODA.

Category	Task	Team
Data preparation	Preparation of FITS files	XRISM SOT
	Definition of distribution range	XRISM SOT
	Definition of proprietary period	XRISM SOT
	Preparation of data storage	JAXA C-SODA
	Preparation of public webpages	JAXA C-SODA
Project introduction page	Preparation of contents	XRISM SOT
	Preparation of public webpages	JAXA C-SODA
Public data list	Generation of the list and HTML	XRISM SOT
	Preparation of public webpages	JAXA C-SODA
Data search	Preparation of search engine	JAXA C-SODA
	Preparation of metadata	XRISM SOT
	Installation of XRISM metadata	JAXA C-SODA
Quick viewing	Preparation of quick-viewing system	JAXA C-SODA
	Preparation of HiPS format of XRISM	XRISM SOT
	Installation of XRISM HiPS data	JAXA C-SODA

6.4 Preparation of PVO Activities

In principle, all the PVO activities are performed by all the science members of the XRISM Team before launch. The items for the MOPT to prepare for the science operations in orbit are the detailed procedure for opening these efforts to GOs via the XRISM software, calibration database, and the analysis method, which are already covered in Sec. 5.

In order to perform the four science operations tasks described in Sec. 5.4 and Table 4, the MOPT prepares the following items.

- *Calibration analyses*

As defined in Table 2, the in-orbit calibration items and procedures are prepared by the ITs, and the SOT also analyzes the in-orbit calibration observations with the ITs. Therefore, the MOPT identifies the calibration items and procedures with the IT before launch.

- *Monthly performance check*

Daily and monthly checks of instrument performance require no special tools other than the standard analysis software. In this sense, the MOPT has no plan to prepare the tools before launch. If the MOPT identifies additional tools during the rehearsal of instrument operation on ground in the PFT phase (Sec. 3.3), the MOPT will prepare the tools from this phase.

- *Development of analysis threads and tools*

All of the standard analysis will be performed using the public standard tools (OT08 in Table 6). For the monthly or daily performance checks, the SOT tries to study and identify new proprieties or behaviors of instruments which affect the instrument performance. If the SOT finds a way to enhance the instrument performance using these items, the SOT will implement the procedure as an analysis thread or prepare a new public tool using the newly found algorithm.

- *Xtend transient search*

As described in Sec. 5.4, the SOT carries out further analyses to search for possible new transient objects within the FOV of the Xtend and posts a quick report to the ATel,²⁴ under the permission of the observation PIs. The MOPT prepares the detailed procedure for this operation to obtain a quick response and develops the automatic search tool of transients.

7 Summary

In preparation of science operations of the XRISM mission, we reviewed the lessons learned from past x-ray missions in Sec. 2 to identify recommendations for the XRISM science operations (Sec. 2.5), which are considered as part of the operations concept (Sec. 3). Based on the operations concepts, we designed the structure of the SOT, interfaces among subgroups, management structure, and data policy in Sec. 4 and established a detailed plan of the science operations as described in Sec. 5. As the final step of preparation of science operations, we identified 10 OTs and developed them as summarized in Sec. 6 before launch.

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