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Assessment of human brown adipose tissue density during daily ingestion of thermogenic capsinoids using near-infrared time-resolved spectroscopy

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Abstract. ¹⁸F-fluorodeoxyglucose positron emission tomography combined with computed tomography (FDG-PET/CT) is widely used as a standard method for evaluating human brown adipose tissue (BAT), a recognized therapeutic target of obesity. However, a longitudinal BAT study using FDG-PET/CT is lacking owing to limitations of the method. Near-infrared time-resolved spectroscopy (NIR_{TRS}) is a technique for evaluating human BAT density noninvasively. This study aimed to test whether NIR_{TRS} could detect changes in BAT density during or after long-term intervention. First, using FDG-PET/CT, we confirmed a significant increase (+48.8%, *P* < 0.05) in BAT activity in the supraclavicular region after 6-week treatment with thermogenic capsaicin analogs, capsinoids. Next, 20 volunteers were administered either capsinoids or placebo daily for 8 weeks in a double-blind design, and BAT density was measured using NIR_{TRS} every 2 weeks during the 8-week treatment period and an 8-week period after stopping treatment. Consistent with FDG-PET/CT results, NIR_{TRS} successfully detected an increase in BAT density during the 8-week treatment (+46.4%, *P* < 0.05), and a decrease in the 8-week follow-up period (−12.5%, *P* = 0.07), only in the capsinoid-treated, but not the placebo, group. Thus, NIR_{TRS} can be applied for quantitative assessment of BAT in longitudinal intervention studies in humans. ⊚ *The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JBO.21.9.091305]*

Keywords: near-infrared spectroscopy; noninvasive; capsiate; brown adipose tissue; ¹⁸F-fluorodeoxyglucose positron emission tomography combined with computed tomography.

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1 Introduction

Brown adipose tissue (BAT) is known to play a critical role in cold-induced nonshivering thermogenesis (CIT) to maintain body temperature. In adult humans, metabolically active BAT is potentially identified in the supraclavicular region by using ¹⁸F-fluorodeoxyglucose positron emission tomography combined with computed tomography (FDG-PET/CT).^{2–5} Histological examination confirmed FDG deposits to be BAT. Recent studies using FDG-PET/CT have revealed that BAT is involved in adaptive energy expenditure, thereby contributing to the regulation of body fat.^{5,6} Moreover, BAT is also suggested to participate in glucose homeostasis^{7–10} and to improve blood lipid profiles¹¹ in humans. Thus, BAT is expected to be a therapeutic target for obesity and related metabolic disorders in humans. ^{12,13}

BAT activity and/or mass can be quantified by FDG-PET/CT after acute cold exposure, which is widely used as a standard method in humans. However, the FDG-PET/CT method has serious limitations, such as its inaccessibility, enormous cost, and patient exposure to ionizing radiation and uncomfortable cold. Particularly, inevitable radiation exposure makes it difficult to evaluate BAT repeatedly in the same subjects/patients in a longitudinal study. Recently, magnetic resonance imaging (MRI)¹⁴ and enhanced contrast ultrasonography¹⁵ were reported as less-invasive methods for the evaluation of BAT, but these are also limited by inaccessibility, enormous cost, and uncomfortable acute cold exposure.

Recently, we demonstrated in healthy humans that total hemoglobin concentration ([total-Hb]), evaluated by near-infrared time-resolved spectroscopy (NIR_{TRS}) under thermoneutral conditions (i.e., without cold exposure), is positively correlated with FDG-PET/CT indices only in the supraclavicular region; which potentially contains BAT deposits. ¹⁶ Considering abundant vascularity of BAT compared with other tissues, ¹⁶ our results

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suggest that [total-Hb] estimated by NIR_{TRS} is an index of BAT density. Although the NIR_{TRS} method does not precisely specify an area of BAT location but instead provides an ~4-cm³ tissue focus, it is noninvasive, simple, inexpensive, and free of radiation exposure for evaluating tissue oxygenation in humans. Collectively, the NIR_{TRS} method is expected to be suitable for evaluating BAT density in humans, particularly in longitudinal studies. To test this, in the present study, using the NIR_{TRS} method we examined the changes in BAT induced by daily ingestion of thermogenic capsaicin-like compounds, capsinoids, which are known to activate and recruit BAT. 13

2 Methods

Healthy volunteer subjects were recruited and given capsinoids every day for 6 to 8 weeks. Before and after the treatment, their BAT activity/density was assessed by FDG-PET/CT (Experiment 1) or NIR_{TRS} (Experiment 2). The study design and protocols were approved by the Institutional Review Board of Ritsumeikan University and Tenshi College, in accordance with the ethical principles contained in the Declaration of Helsinki. Written informed consent was obtained from all participants. These studies were conducted from December 2014 to March 2015, the winter season in Japan.

2.1 Subjects

In Experiment 1, three healthy males (24- to 30-years old) were recruited by direct contact. In an independent Experiment 2,

10 healthy male and 10 healthy female college students were recruited by advertising on posters or by direct contact (Table 1). The participants were randomly allocated to the capsinoids or placebo group by a third party who did not participate in this study.

2.2 Capsinoids

Capsinoids were extracted from CH-19 Sweet (*Capsicum annuum* L); consisted of capsiate, dihydrocapsiate, and nordihydrocapsiate in a 7:2:1 ratio; and were provided by Ajinomoto Co., Inc. (Tokyo, Japan). Each capsule contained 0 or 1.5 mg of capsinoids and 199 mg of a mixture of rapeseed oil and mediumchain triglycerides. ^{13,19} Participants were instructed to take three capsules in each morning and evening of each day ¹³ for 6 weeks (Experiment 1) or 8 weeks (Experiment 2).

2.3 Study Design

In Experiment 1, three male subjects were given six capsules containing 1.5 mg of capsinoids each day for 6 weeks. Before and after the treatment, their BAT activity was assessed by FDG-PET/CT.

In Experiment 2, 10 male and 10 female subjects were given either capsinoid (9 mg/day) or placebo capsules each day for 8 weeks in a double-blind design. Before and after the 8-week treatment, their anthropometric and circulatory parameters were measured. In addition, BAT density was measured every

Table 1 Anthropometric parameters and blood pressure before and after the 8-week treatment, and after 8 weeks of follow-up period.

	Capsinoids (n = 10)			Placebo ($n = 10$)		
	Before the treatment	After the 8-week treatment	After the 8-week follow-up	Before the treatment	After the 8-week treatment	After the 8-week follow-up
Age (years)	20.7 ± 1.2	_	_	$\textbf{20.9} \pm \textbf{0.9}$	_	_
Body weight (kg)	60.1 ± 8.0	60.1 ± 8.2	$\textbf{60.5} \pm \textbf{8.0}$	59.8 ± 6.3	59.5 ± 5.6	$\textbf{59.6} \pm \textbf{6.4}$
BMI (kg/m²)	$\textbf{21.4} \pm \textbf{1.8}$	$\textbf{21.4} \pm \textbf{1.8}$	$\textbf{21.6} \pm \textbf{2.0}$	$\textbf{21.9} \pm \textbf{1.0}$	$\textbf{21.9} \pm \textbf{1.0}$	$\textbf{21.9} \pm \textbf{1.0}$
Body fat content (%)	$\textbf{21.3} \pm \textbf{7.6}$	$\textbf{21.1} \pm \textbf{7.6}$	21.7 ± 7.5	$\textbf{22.9} \pm \textbf{8.7}$	23.1 ± 8.6	$\textbf{23.0} \pm \textbf{8.1}$
Body fat mass (kg)	$\textbf{12.2} \pm \textbf{4.7}$	$\textbf{12.1} \pm \textbf{4.7}$	$\textbf{12.5} \pm \textbf{4.5}$	$\textbf{12.7} \pm \textbf{4.0}$	$\textbf{12.8} \pm \textbf{4.0}$	$\textbf{12.8} \pm \textbf{3.8}$
Lean body mass (kg)	$\textbf{45.3} \pm \textbf{7.8}$	$\textbf{45.3} \pm \textbf{7.7}$	$\textbf{45.2} \pm \textbf{7.8}$	44.5 ± 9.0	44.0 ± 8.4	44.2 ± 8.5
Bone mass (kg)	$\textbf{2.7} \pm \textbf{0.4}$	$\textbf{2.7} \pm \textbf{0.4}$	$\textbf{2.7} \pm \textbf{0.4}$	2.6 ± 0.3	$\textbf{2.7} \pm \textbf{0.3}$	2.6 ± 0.3
VFA (cm²)	40.5 ± 9.9	$\textbf{37.1} \pm \textbf{10.7}$	$\textbf{42.3} \pm \textbf{15.7}$	$\textbf{37.5} \pm \textbf{9.0}$	$\textbf{38.4} \pm \textbf{6.8}$	$\textbf{37.1} \pm \textbf{8.8}$
SFA (cm ²)	$\textbf{112.5} \pm \textbf{34.6}$	$\textbf{115.4} \pm \textbf{34.5}$	$\textbf{119.6} \pm \textbf{36.0}$	$\textbf{127.2} \pm \textbf{46.0}$	$\textbf{131.8} \pm \textbf{46.0}$	$\textbf{134.2} \pm \textbf{44.5}$
Supraclavicular subcutaneous fat thickness (cm)	$\textbf{0.22} \pm \textbf{0.02}$	$\textbf{0.22} \pm \textbf{0.02}$	$\textbf{0.23} \pm \textbf{0.02}$	$\textbf{0.23} \pm \textbf{0.02}$	$\textbf{0.23} \pm \textbf{0.02}$	$\textbf{0.23} \pm \textbf{0.02}$
Deltoid muscle subcutaneous fat thickness (cm)	$\textbf{0.42} \pm \textbf{0.06}$	$\textbf{0.42} \pm \textbf{0.06}$	$\textbf{0.42} \pm \textbf{0.06}$	$\textbf{0.44} \pm \textbf{0.05}$	$\textbf{0.45} \pm \textbf{0.08}$	$\textbf{0.44} \pm \textbf{0.06}$
SBP (mm Hg)	$\textbf{118} \pm \textbf{10}$	$\textbf{117} \pm \textbf{12}$	$\textbf{113} \pm \textbf{18}$	$\textbf{114} \pm \textbf{8}$	$\textbf{119} \pm \textbf{12}$	107 ± 9
DBP (mm Hg)	68 ± 9	61 ± 8	69 ± 8	65 ± 10	$\textbf{63}\pm \textbf{7}$	66 ± 9
Heart rate (bpm)	$\textbf{67} \pm \textbf{12}$	$\textbf{63}\pm \textbf{7}$	68 ± 7	67 ± 5	67 ± 10	68 ± 5

BMI, body mass index; VFA, visceral fat area; SFA, subcutaneous fat area; SBP, systolic blood pressure; DBP, diastolic blood pressure.

Fig. 1 Schematic illustration of the protocol and the timing of the measurements of Experiment 2.

2 weeks by NIR_{TRS} (Fig. 1). These parameters were measured again 8 weeks after stopping the capsinoid intake (follow-up period). The subjects were instructed to maintain their usual dietary intake and physical activity during the experimental period, and to record a dietary diary during the 16-week period.

2.4 Outcomes

The primary endpoint was the change in BAT density evaluated by [total-Hb] using NIR_{TRS} after 8 weeks of capsinoid-treatment, and the secondary one was that after cessation of the treatment. An additional endpoint was the capsinoid-induced increase in BAT activity assessed by FDG-PET/CT.

2.5 ¹⁸F-fluorodeoxyglucose Positron Emission Tomography Combined with Computed Tomography

FDG-PET/CT was performed as described previously.² Briefly, after overnight fasting for ~12 h, the subjects were exposed to cold by being kept in an air-conditioned room at 19°C with standardized light clothing (a patient's gown), and intermittently placed their feet on an ice block wrapped in cloth for ~4 min every 5 min to avoid cooling-associated pain. After 1 h, under these cold conditions, each was given an intravenous injection of ¹⁸F-FDG (1.66 to 5.18 megaBecquerel (10⁶ Bq) (MBq)/kg body weight) and kept under the same cold conditions. One hour after the ¹⁸F-FDG injection, FDG-PET/CT scans were performed by using a PET/CT system (Aquiduo, Toshiba Medical Systems, Otawara, Japan). BAT activity in the supraclavicular fat deposits was quantified by calculating the maximal standardized uptake value of FDG (SUV_{max}), defined as the radioactivity per milliliter within the region of interest divided by the injected dose in MBq/g of body weight.

2.6 Near-Infrared Time-Resolved Spectroscopy

The [total-Hb] was measured using NIR_{TRS} (TRS-20; Hamamatsu Photonics K.K., Hamamatsu, Japan) for 5 min at 27°C by placing the probes on the skin of the supraclavicular region potentially containing BAT deposits; and, as a reference, also in the deltoid muscle region, which is separated from the BAT deposits in the right side. The distance between the emitter and detector was set at 30 mm. ¹⁶

The tissue was illuminated with a 200- μ m core diameter optical fiber using pulsed light generated from picosecond light pulsers at 760, 800, and 830 nm with 100 ps full width at half-maximum, a 5-MHz repetition rate, and an 80- μ W average power of each wavelength. The emitted photons penetrated the tissue and were reflected to a 3-mm diameter optical bundle fiber, through which they were sent to a photomultiplier tube for single-photon detection and a signal processing circuit for time-resolved measurement. Using the nonlinear least-squares method, the digitized temporal profile data from an *in vitro*

sample or tissue were fitted with a theoretical temporal profile derived from the analytical solution of photon diffusion theory with a semi-infinite homogeneous model in reflectance mode. After convolution with the instrumental response function such that the time response of the instrument itself could be compensated, values for absorption coefficient and reduced scattering coefficient at 760, 800, and 830 nm were obtained. Then the absolute concentrations of [total-Hb] were determined using a least-squares fitting method. The NIR_{TRS} system provided data every 10 s. The coefficient of variation for repeated measurements of [total-Hb] was 4.9%.

2.7 Anthropometric and Circulatory Parameter Measurements

The body mass, fat mass, percent body fat, lean body mass, and bone mass were evaluated by a dual-energy x-ray absorptiometry scan (DXA, Lunar Prodigy; GE Healthcare, Buckinghamshire, UK). The visceral fat area (VFA) and subcutaneous fat area (SFA) at the abdominal level of L4-L5 were estimated using 1.5-T MRI (Signa HDxt; GE Healthcare, Buckinghamshire, UK). During DXA measurements, subjects maintained a supine position. Then a series of transaxial MRI scans of abdominal sections were acquired (field of view = 420×420 mm, slice thickness = 10 mm, echo time = 7.3 ms, repetition time = one respiration). The images were exported and analyzed by the same investigator using an image analysis software program (SliceOmatic 4.3; Tomovision Inc., Magog, Canada). Subcutaneous fat thickness was measured by B-mode ultrasonography (SSD-3500SV; Hitachi Aloka Medical Co., Ltd, Tokyo, Japan) at the supraclavicular region potentially containing BAT and the deltoid muscle region, which is separated from BAT deposits. During ultrasonographic measurements, subjects maintained the same posture as during the NIR_{TRS} measurement. Systolic blood pressure (SBP), diastolic blood pressure (DBP), and heart rate (HR) were measured using an automated sphygmomanometer (HBP-9020; Omron Corp., Kyoto, Japan) after resting for 10 min.

2.8 Dietary Diary and Records of Intakes

Dietary habits during the preceding month were assessed using a validated, brief, self-administered diet history questionnaire that contained questions about the consumption frequency of 56 foods and beverages and nine dishes that are commonly consumed in the general Japanese population. Daily intakes of energy, protein, fat, and carbohydrate were calculated²⁰ before and after the 8-week treatment period, and after the 8-week follow-up period.

Daily steps and activity energy expenditure were estimated using pedometers (Omron Health Counter HJ-710IT; Omron Healthcare, Kyoto, Japan), and the mean for 7 days was evaluated before and after the 8-week treatment period, and after the 8-week follow-up period.

2.9 Statistical Analyses

Data are expressed as mean \pm standard deviation. In Experiment 1, to compare the SUV_{max} before and after the 6-week period, Wilcoxon signed-rank testing was conducted after results from the Shapiro–Wilk test proved significant. In Experiment 2, a two-way analysis of variance with repeated measures was used to test the interaction (group × time) and the main effects (group and time). If there was a significant interaction or main effect, the time or group differences of the variables were analyzed using the paired or unpaired *t*-test, respectively. Values were considered to be statistically significant if P was <0.05. All statistical analyses were performed using SPSS version 19 (Chicago, Illinois).

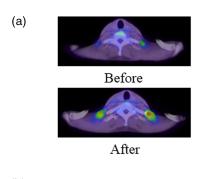
3 Results

3.1 Experiment 1

Three male subjects were given 9 mg of capsinoids every day for 6 weeks, and their BAT activity was assessed by FDG-PET/CT. Figure 2 shows a typical FDG-PET/CT image in the supraclavicular region before and after the 6-week treatment. The calculated SUV_{max} in both sides was increased by 48.8% (2.2 \pm 0.3 versus 3.3 \pm 1.3, P < 0.05) by the treatment, being consistent with our previous results 13 that the daily ingestion of capsinoids recruits BAT.

3.2 Experiment 2

Twenty subjects were randomly divided into two groups, and given either 9 mg of capsinoids or placebo for 8 weeks. Before and after the 8-week period, there were no significant changes, either in the anthropometric or circulatory parameters (Table 1) or for the physical activity levels (steps and physical activity, energy expenditure) or dietary intake (energy, fat, protein, and carbohydrate intake) (data not shown). No apparent



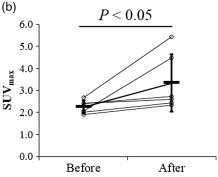


Fig. 2 Typical FDG-PET/CT images in (a) the supraclavicular region and (b) ${\rm SUV}_{\rm max}$ before and after the 6-week capsinoids treatment.

harmful incidents were observed in any individuals in the present study.

Figure 3 shows the [total-Hb] assessed by NIR_{TRS}. There was a significant main effect of group on [total-Hb] in the supraclavicular region close to BAT deposits [Fig. 3(a)], but not in the deltoid muscle region separated from BAT deposits [Fig. 3(b)]. In the supraclavicular region, [total-Hb] increased by 46.4% after the 8-week capsinoid treatment (70.4 \pm 14.8 versus $102.2 \pm 27.2 \,\mu\text{M}$; P < 0.01), despite large interindividual variations [Fig. 3(c)], while it did not change after the placebo treatment $(71.3 \pm 18.1 \text{ versus } 81.9 \pm 22.0 \,\mu\text{M}; P = 0.13)$ [Fig. 3(d)]. In contrast, the individual data of the deltoid muscle region separated from BAT deposits were stable in both the capsinoid [Fig. 3(e)] and placebo groups [Fig. 3(f)]. In the supraclavicular region, the change in [total-Hb] during the 8-week period was significantly greater in the capsinoid group than in the placebo group [Fig. 3(g)]. After stopping the capsinoid treatment, [total-Hb] in the supraclavicular region tended to decrease by 12.5%. The change in [total-Hb] during the 8-week follow-up period was insignificantly larger (P = 0.07) in the capsinoids group than in the placebo group [Fig. 3(h)].

4 Discussion

In this study, first, we confirmed, by FDG-PET/CT, increased BAT activity after daily ingestion of capsinoids by healthy humans. Then, we found that the capsinoid-induced increase in [total-Hb], a potential parameter for evaluating BAT vascularity, could be continuously monitored by NIR_{TRS}.

Capsinoids, such as capsiate, are capsaicin-like compounds found in a nonpungent type of red pepper called "CH-19 Sweet:"13,19,21 Capsinoids are known to have similar physiological effects to capsaicin. Animal studies have shown that capsinoids activate transient potential vanilloid 1 receptors in the gut, 22,2324 which in turn increase BAT thermogenesis and body fat mobilization via the sympathetic nervous system. 23,24 Similar thermogenic effects of capsinoids were also found in humans: that is, single oral ingestion of capsinoids increases whole-body energy expenditure in subjects with active BAT, but not in those without it.²⁵ Moreover, it was also reported that daily ingestion of capsinoids for 6 weeks resulted in an increased CIT. 13 These results suggest that capsinoids not only activate but also recruit BAT in humans. Consistent with these previous findings, in Experiment 1 of the present study, FDG-PET/CT revealed a significant increase in BAT SUV_{max} in the supraclavicular region after the 6-week capsinoid treatment.

We reported previously a significant relationship between BAT density as evaluated by [total-Hb] in NIR_{TRS} and BAT activity as evaluated by SUV_{max} in FDG-PET/CT. ¹⁶ Thus, it was rational to expect that the capsinoid-induced change in BAT would be detected by NIR_{TRS}. In fact, in Experiment 2 of the present study, we found that [total-Hb] in the supraclavicular region close to BAT deposits increased significantly after the 8-week capsinoid treatment, while it did not change after the placebo treatment. In contrast, no notable change was found in [total-Hb] in the deltoid muscle region separated from BAT deposits. Although the period of capsinoid treatment was different in the two experiments (6 and 8 weeks), the increases in [total-Hb] and SUV_{max} were almost similar (48.8% and 46.4%, respectively), supporting again our previous idea that [total-Hb] is an index of BAT density. We also found that [total-Hb] tended to decrease during the 8-week follow-up period after the capsinoid treatment. As there was no notable

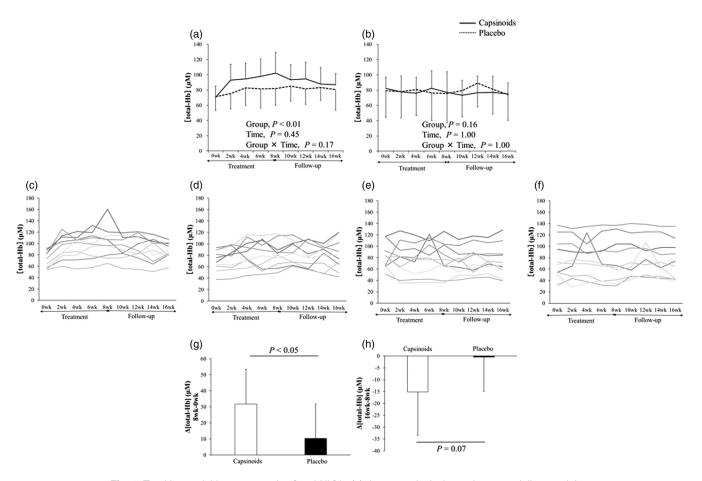


Fig. 3 Total hemoglobin concentration [total-Hb] in (a) the supraclavicular region potentially containing brown adipose tissue and (b) the deltoid muscle region separated from brown adipose tissue deposits. [total-Hb] in the supraclavicular region of individual subjects treated with (c) capsinoids and (d) placebo. [total-Hb] in the deltoid muscle region of individual subjects treated with (e) capsinoids and (f) placebo. (g) Changes in [total-Hb] after the 8-week treatment. (h) Changes in [total-Hb] after stopping the treatment.

change in the lifestyle such as food intake or physical activity of the participants during the 16-week test period, the change in [total-Hb] would be attributable to capsinoid ingestion. Taken together, the change in [total-Hb] evaluated by NIR_{TRS} reflects those in BAT density induced by daily ingestion of capsinoids. It is thus evident that NIR_{TRS} is a useful method for evaluating BAT density in humans, particularly in longitudinal intervention studies.

There are two distinct types of brown adipocyte: the classical brown adipocyte derived from the Myf-5 cell, and the beige adipocyte transformed from the white adipocyte in response to sympathetic stimulation. Based on the gene expression pattern, Based in the supraclavicular region in adult humans was suggested to be mainly composed of beige adipocytes. It is to be noted, however, that neither NIR_{TRS} nor FDG-PET/CT can distinguish these two types of adipocyte, and that Based detected by these methods may contain both types of adipocytes.

In the present study, body composition did not change in the capsinoid group, although VFA tended to decrease. This conflicts with previous studies showing a significant reduction in VFA after prolonged ingestion of capsinoids in humans. ^{19,28} This may be due to the difference in the adiposity of participants between the studies: i.e., the participants in the previous studies

were obese, while ours were lean. Metabolically, it might be easier to induce a reduction in excess body fat in over-fat participants than it is to induce a reduction in body fat in healthy, lean persons possessing body fat levels within the physiologically healthy range. We reported previously that subcutaneous fat thickness affects NIR signal sensitivity. Is In our present studies, subcutaneous fat thickness in the supraclavicular region did not change during the testing period, supporting the observation that changes in [total-Hb] reflect those in BAT density more than those in subcutaneous fat.

5 Conclusion

The present study demonstrated a parallel change in BAT density, evaluated as [total-Hb] by NIR_{TRS} or BAT activity evaluated as SUV_{max} by FDG-PET/CT, after daily ingestion of thermogenic capsinoids in healthy humans, suggesting that NIR_{TRS} is suitable for assessment of human BAT, particularly in longitudinal intervention studies where FDG-PET/CT is difficult to use. Because, in this study, the NIR_{TRS} parameters were obtained from participants who did not undergo FDG-PET/CT, simultaneous assessment by the two methods would be helpful to further confirm our conclusion.

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