Applied Remote Sensing

RemoteSensing.SPIEDigitalLibrary.org

Evaluation of ceilometer attenuated backscattering coefficients for aerosol profile measurement

Yoshitaka Jin Nobuo Sugimoto Atsushi Shimizu Tomoaki Nishizawa Kenji Kai Kei Kawai Akihiro Yamazaki Motoki Sakurai Holger Wille

Yoshitaka Jin, Nobuo Sugimoto, Atsushi Shimizu, Tomoaki Nishizawa, Kenji Kai, Kei Kawai, Akihiro Yamazaki, Motoki Sakurai, Holger Wille, "Evaluation of ceilometer attenuated backscattering coefficients for aerosol profile measurement," *J. Appl. Remote Sens.* **12**(4), 042604 (2018), doi: 10.1117/1.JRS.12.042604.



Evaluation of ceilometer attenuated backscattering coefficients for aerosol profile measurement

Yoshitaka Jin,^{a,*} Nobuo Sugimoto,^a Atsushi Shimizu,^a Tomoaki Nishizawa,^a Kenji Kai,^b Kei Kawai,^b Akihiro Yamazaki,^c Motoki Sakurai,^d and Holger Wille^e

^aNational Institute for Environmental Studies, Tsukuba, Japan ^bNagoya University, Nagoya, Japan ^cJapan Meteorological Agency, Meteorological Research Institute, Tsukuba, Japan ^dIR System Co., Ltd., Tama, Japan ^eG. Lufft GmbH, Fellbach, Germany

Abstract. Aerosol observations with ceilometers have been made worldwide recently. To use ceilometer data to retrieve aerosol profiles, raw signals should be accurately converted to the attenuated backscattering coefficient. Hence, the calibration coefficient for the system constant has to be determined correctly. We conducted a ceilometer-lidar comparative experiment to evaluate the Lufft CHM15k Nimbus product. The attenuated backscattering coefficient using CHM15k was smaller by a factor of 1.48 compared to that of lidar. The calibration coefficient should be periodically corrected using the ceilometer signal itself since lidar data are generally unavailable in the field observations. We recalibrated the product using both Rayleigh fitting and cloud attenuation methods. The correction factor, determined from the recalibration, was 15% (9%) smaller when using the Rayleigh fitting (cloud attenuation) method than the factor determined from lidar. Uncertainties from backscattering ratios at the reference height and the lidar ratio can cause systematic errors in the correction factor determined from the Rayleigh fitting method. Uncertainties due to the multiple scattering factor contribute to systematic errors for the cloud attenuation method. We propose a calibration method using depolarization ratios for future polarization-sensitive ceilometers, which can estimate the calibration coefficient without multiple scattering factors. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JRS.12.042604]

Keywords: ceilometers; lidar; aerosol; cloud; calibration; intercomparison.

Paper 180283 received Apr. 6, 2018; accepted for publication Jul. 10, 2018; published online Jul. 31, 2018.

1 Introduction

Ceilometers are generally deployed at airports to provide cloud base heights for arriving and departing aircraft. Ceilometers are robust, low-cost, and simple backscatter lidars that utilize a near-infrared laser with low pulse energy and high repetition rates. The instruments are safe for eyes, maintenance free, and suitable for unattended field observations. In addition to detecting cloud base heights,¹ ceilometers can also detect the atmospheric boundary layer height^{2,3} and provide radiation fog formation alerts.⁴ Recently, ceilometers have been used for aerosol profile measurements worldwide. Network observations with ceilometers can capture the three-dimensional distribution of aerosols. In Europe, a ceilometer network has been developed to monitor vertical profiles of aerosols, including volcanic ash.^{5,6} In Asia, continuous observations of dust with CL51 (Vaisala) has been conducted at Dalanzadgad, Mongolia, since April 2013,⁷ and continuous observations with CHM15k (Lufft) began in Mandalgovi, Mongolia, in April 2017.

^{*}Address all correspondence to: Yoshitaka Jin, E-mail: jin.yoshitaka@nies.go.jp

Retrieval of aerosol backscattering and extinction coefficients from ceilometer data is an important issue for the application to aerosol studies. Aerosol profiling data are useful for validating chemical transport models⁸ and improving numerical simulations via data assimilation.⁹ Methods to retrieve aerosol properties from ceilometer data have been discussed for several years. Jin et al.¹⁰ demonstrated extinction coefficient retrievals for a dense dust case using the Klett method.¹¹ Heese et al.¹² retrieved backscattering coefficient from CHM15k signals using the backward inversion method.¹³ Since there are two unknown parameters (backscattering and extinction coefficients) in the lidar equation, lidar ratio (extinction-to-backscatter ratio) is assumed in the inversion. The lidar ratio typically varies from 10 to 100 sr depending on aerosol types and therefore the assumption can cause uncertainty in the retrieved properties. In combination with sun photometer data, Wiegner and Geiß¹⁴ estimated lidar ratios for each season in Munich, Germany. Cazorla et al.¹⁵ developed a near real-time processing algorithm to retrieve backscattering coefficients from ceilometer and sun photometer data. Wiegner et al.¹⁶ suggested that the forward inversion method¹³ is preferable to retrieve backscattering coefficients for ceilometers because low signal-to-noise ratios (SNR) in the free troposphere do not affect the retrieval results for the lower aerosol layers. In addition, the forward inversion method enables to retrieve backscattering coefficients even under cloudy conditions because atmospheric molecular signals are not necessary for the inversion.

In the forward inversion method, correct initial values are needed to retrieve accurate backscattering coefficient. Because measured signals are used for the boundary condition, the signals have to be calibrated correctly. Namely, calibration coefficient, which is the quantity to calibrate the system constant, should be determined correctly. Jin et al.¹⁰ conducted the signal calibration for a CL51 by comparing it to lidar signals measured simultaneously and revealed that calibration coefficients determined at the ceilometer factory are not necessarily correct. Therefore, ceilometer signals should be recalibrated by users to obtain accurate backscattering coefficient. Comparisons with lidar are a straightforward way to calibrate, but opportunities are limited. Periodical calibration with the ceilometer signal itself is desirable to conduct long-term continuous observations. There are two known calibration methods: the Rayleigh fitting method¹⁴ and the cloud attenuation method.¹⁷ The former method is widely used to calibrate lidars and can be applied to photon counting ceilometers. The latter method utilizes the relationship between the integrated attenuated backscattering coefficient and effective lidar ratio for water clouds. Multiple scattering factor in the effective cloud lidar ratio is an unknown parameter and can cause errors in the calibration process.

The correction of the geometric form factor (or overlap function) is also an important issue. Accurate correction of the overlap function is required to obtain actual aerosol profiles. Incomplete overlap correction can cause large errors in the retrieved backscattering and extinction profiles. The overlap function is determined at the factory and the overlap corrected signals are provided, but the correction is not always accurate. Wiegner et al.¹⁶ conducted horizontal measurements with the CL51 and revealed that the overlap function was overestimated between 60 and 500 m. Hervo et al.¹⁸ determined overlap functions for the CHM15k assuming vertically homogeneous aerosol profiles and pointed out that the overlap function varies diurnally depending on the instrument's internal temperature. Ceilometer overlap functions should be validated using lidars that the overlap function is properly corrected for. Using a small telescope, lidar signals with a low full overlap height are obtained. The signals can be used for the overlap correction for lidar signals with a higher full overlap height.

Ceilometer–lidar comparison is practical to evaluate the ceilometer capability.^{10,12,19} We had opportunities to compare CHM15k Nimbus with lidars in August and September 2016 at the National Institute for Environmental Studies (NIES) in Tsukuba, Japan. After the comparative experiment, the ceilometer was transferred to Mandalgovi, Mongolia, in April 2017 to observe dust in the Gobi Desert. In this study, we validate the attenuated backscattering coefficient product of the ceilometer via the comparison. We evaluate the correctness of calibration coefficient and overlap function (Sec. 2). In addition, to evaluate the two known calibration methods, we recalibrate the ceilometer signals using the ceilometer signal itself (Sec. 3). For the cloud attenuation method, we use neutral density (ND) filters to avoid cloud signal saturation caused by the CHM15k photon counting system. Correction factors from the recalibration are validated using the lidar data. Systematic errors in the correction factor are also discussed. In Sec. 4, a new

calibration method using the depolarization ratio is proposed for upcoming polarization-sensitive ceilometers. The proposed method can solve the problem of multiple scattering factor in the cloud attenuation method. In addition, a method to increase ceilometer signals near the ground is demonstrated.

2 Ceilometer–Lidar Comparison

The ability of CHM15k to measure aerosols and clouds is investigated by comparison with NIES lidar of the Asian dust and aerosols lidar observation network (AD-Net). The CHM15k and NIES lidar specifications are summarized in Table 1.

The ceilometer employs a transmitter at 1064- nm wavelength; therefore, it is convenient to compare with aerosol lidars equipped with a Nd:YAG laser. Other ceilometers typically use transmitters at 905 to 910 nm, and the Ångström exponent should be known to compare with the lidars. Moreover, signal attenuation due to the water vapor absorption should be considered for ceilometers with 905- to 910-nm lasers,²⁰ but the CHM15k has no attenuation from water vapor. The transmitter and receiver are placed biaxially. The full overlap height is around 1.5 km.¹² In this study, attenuated backscattering coefficient provided by CHM15k is express as β'_{obs} , where the prime denotes the attenuation.

The AD-Net has about 20 lidar stations in East Asia. AD-Net lidars use a Q-switching Nd: YAG laser with a repetition rate of 10 Hz. The lidars measure backscattering intensity at 1064 and 532 nm and depolarization ratios at 532 nm. Signals at 532 nm are calibrated using the Rayleigh fitting method. The calibration coefficient at 1064 nm is determined using water cloud signals. The backscattering coefficient at 1064 nm is almost similar to that at 532 nm for water clouds since the spectral dependency can be negligible. When the spectral difference for the aerosol optical thickness (AOT) from the ground to the cloud bottom is small, the ratio of the range corrected signals at 1064 nm to the attenuated backscattering coefficient at 532 nm can result in the calibration coefficient at 1064 nm. Depolarization channels are calibrated using signals for \pm 45- deg polarization with respect to the polarization plane of the laser by setting a polarization sheet in front of the telescope. The full overlap height is around 500 to 600 m. The overlap function can be determined using signals measured with a small telescope (50 mm diameter). A detailed description of the AD-Net lidar is described by Shimizu et al.²¹ The lidar attenuated backscattering coefficient at 1.²¹

Figure 1 shows attenuated backscattering coefficients at 1064 nm observed with CHM15k and NIES lidar. CHM15k can measure the distribution of aerosols and clouds as the lidar. CHM15k signals during the nighttime have low noise because of the photon counting system.

	CHM15k	NIES lidar
Laser wavelength	1064 nm	1064 nm, 532 nm
Pulse energy	7 to 9 µJ	20 mJ at 1064 nm
		30 mJ at 532 nm
Repetition rate	5 to 7 kHz	10 Hz
Telescope	Refracting-type	Schmidt-Cassegrain
	Dia = 135 mm	Dia = 2032 mm
Field of view	0.45 mrad	1 mrad
Acquisition method	Photon counting	Analog
Range resolution	5 m	6 m
Time resolution	15 s	10 s

Table 1 CHM15k and NIES lidar specifications.



Fig. 1 Attenuated backscattering coefficient at 1064 nm observed for (a) CHM15k and (b) NIES Lidar in August 20 to 29, 2016. Time and height resolutions are 5 min and 30 m, respectively.



Fig. 2 Profiles of attenuated backscattering coefficient at 1064 nm for CHM15k (β'_{obs}) and NIES Lidar (β'_{lid}) on August 26, 2016 at 17:20 [UTC].

Cloud signals at high altitudes are clearly visible during the nighttime compared to the lidar signals. Ghost signals appear above low-level clouds, e.g., during the daytime on 28 August, which may have been caused by the response of the avalanche photodiode to signal saturation from the clouds. The signal saturation is clearly observed when signal profiles are compared. Figure 2 shows the profile comparison on 26 August, when signal saturation appears at 1.5 km. By taking into account a factor of 1.48, the attenuated backscattering coefficient profiles are well matched for aerosols.

In Fig. 2, CHM15k signals deviate from the lidar signals below 400 m. The CHM15k signals are beforehand corrected using the overlap function provided by the manufacturer. To identify the realistic aerosol profile, we show an enlarged view of the attenuated backscattering coefficient on 26 August in Fig. 3. The CHM15k product has artificial lines below 400 m, whereas the NIES lidar data do not have such artificial distortion. Consequently, the signal difference between CHM15k and lidar in Fig. 2 is due to the incomplete overlap correction for CHM15k signals. The overlap function provided by the manufacturer should be corrected before the recalibration. In this study, we corrected the function using the lidar data simply by calculating the ratio of the lidar attenuated backscattering coefficient (β'_{lid}) to CHM15k attenuated backscattering coefficient (β'_{obs}). Figure 4 shows the $\beta'_{lid}/\beta'_{obs}$ profile averaged over 1 month in August 2016. The ratio is normalized at 1 km. CHM15k signals below 150 m are noisy during

Jin et al.: Evaluation of ceilometer attenuated backscattering coefficients...



Fig. 3 Enlarged view of attenuated backscattering coefficient for (a) CHM15k and (b) NIES Lidar on August 26, 2016.



Fig. 4 Profile of the NIES Lidar (β'_{lid}) to CHM15k (β'_{obs}) ratio averaged in August 2016. The ratio is normalized at 1 km. The error bars denote the standard deviation.

the daytime, as shown in Fig. 3(a), and are not used for the calculation. The ratio is around 1.0 to 1.1 from 300 m to 1 km and significantly increases (>1.2) below 250 m. The β'_{obs} value at 180 m results in an ~40% smaller value than the true value. Hereafter, we use the overlap-corrected β'_{obs} for the analyses.

3 Recalibration Using Ceilometer Signals

Because the magnitude of β'_{obs} does not match that of β'_{lid} , as shown in the previous section, the CHM15k product should be recalibrated by users. However, lidar data are generally unavailable in the field observation. Therefore, the calibration should be performed with the ceilometer signal itself. We conducted the recalibration for β'_{obs} with the Rayleigh fitting and cloud attenuation methods. A correction factor is derived from the recalibration and is defined as

$$C = \frac{\beta'_{\text{true}}}{\beta'_{\text{obs}}},\tag{1}$$

where β'_{true} is the true attenuated backscattering coefficient. We assume that the correction factor of 1.48 ± 0.15 derived from the lidar in August 2016 is the true value, and validate correction factors estimated with the two methods using the true correction factor.

3.1 Rayleigh Fitting Method

If backscattering coefficient profiles are retrieved by the backward inversion, β'_{obs} can be calibrated referring to the retrieved profiles. Problems in the method are errors of the boundary condition and selection of the lidar ratio. We assume that the aerosol backscatter is negligibly small at the reference height where the inversion calculation is started. Because the Rayleigh scattering intensity is inversely proportional to the wavelength to the fourth power, the intensity at 1064 nm is very small compared to that at the visible wavelength, e.g., the intensity at 1064 nm is 1/16 of that at 532 nm. Consequently, the ceilometer signals at the reference height are usually noisy, and particularly during daytime due to background light. In the case of the analog acquisition method, signals at the reference height are strongly affected by noise with low frequency waveforms. For example, complex waveform noise has been reported for CL31²² and CL51.¹⁰ Waveform noise makes the calibration difficult due to the large systematic error. Because CHM15k utilizes the photon counting method, no waveform noise is included in the signal; therefore, the Rayleigh fitting method can be applied to the calibration.

We estimated the correction factor for the CHM15k data in August 2016. Clear sky data were selected and averaged for each day. To reduce random noise, signals at the reference height were averaged over ± 150 m. The averaged signal was used for the boundary condition of the inversion. When the SNR for the averaged data was <3 at 6 km, the data were excluded from the calculation to reduce random errors. We derived 13 profiles after the extraction. The backscattering ratio $R [= (\beta_1 + \beta_2)/\beta_2$, where β_1 and β_2 are the backscattering coefficients for aerosols and atmospheric molecules, respectively] is assumed to be 1 at the reference height. The lidar ratio for the inversion calculation was assumed to 50 sr. The estimated correction factor was 1.26 ± 0.14 , which is 15% smaller than the true value.

Systematic errors in the correction factor due to the backscattering ratio at the reference height are investigated. Because Rayleigh scattering at 1064 nm is much smaller than that at 532 nm, the backscattering ratio at 1064 nm can significantly change even if few aerosols exist at the reference height. For example, if the backscattering ratio at 532 nm is 1.05 and the background-type aerosol is assumed, the backscattering ratio at 1064 nm is 1.33.²³ We assumed a backscattering ratio of 1 at the reference height, but the estimated correction factor would contain systematic errors if the true backscattering ratio is different from the set backscattering ratio. To investigate the systematic error, simulated lidar signal profiles were created assuming an aerosol layer (from 0 to 2 km) with two AOTs: 0.1 and 0.5. We calculated the correction factor changing the true backscattering ratio R_{true} from 1 to 1.3 for the simulated lidar signal. If $R_{true} - R_{set}$ is 0.3 and AOT is 0.1, the systematic error in the correction factor is approximately -20% [Fig. 5(a)]. The systematic errors decrease with increasing AOT.

Systematic errors due to the lidar ratio selection are also investigated. We calculated the error changing the true lidar ratio $S_{1,true}$ from 20 to 80 sr while the setting lidar ratio $S_{1,set}$ to the fixed value of 50 sr. The results are shown in Fig. 5(b). If the true lidar ratio is smaller than the setting value, the systematic error will be negative. The systematic errors increase with increasing AOT.

We also investigated systematic errors caused by an incomplete overlap correction. The correction factor can vary depending on the overlap function due to changes in the retrieved extinction coefficient. We calculated the correction factor using the CHM15k product without the additional overlap correction from the lidar data. The calculated correction factor was 2% larger than that after the additional overlap correction.

3.2 Cloud Attenuation Method

Platt²⁴ introduced derivation of effective cloud lidar ratios from the lidar equation. By integrating the true attenuated backscattering coefficient for clouds, the integrated value can be expressed by the effective cloud lidar ratio (ηS_c) as follows:

$$\gamma_{\rm true}' = \frac{T^2(r_0, r_b)}{2\eta S_c} [1 - \exp(-2\eta \tau_c)], \tag{2}$$

where γ'_{true} is the integrated attenuated backscattering coefficient calculated by the integral of β'_{true} from r_b to the cloud top height r_t . T^2 denotes two-way atmospheric transmittance from the



Fig. 5 Simulated systematic errors in the correction factor by (a) backscattering ratios R at the reference height and by (b) lidar ratios S_1 for the Rayleigh fitting method. The set backscattering ratio R_{set} is 1.0 and the set lidar ratio $S_{1,\text{set}}$ is 50 sr.

bottom height r_0 to the cloud base height r_b . η is the multiple scattering factor, which can change depending on the height, extinction coefficient, and effective radius of the water clouds. Therefore, η is an unknown parameter in the effective cloud lidar ratio. S_c is the cloud lidar ratio, and τ_c is the cloud optical thickness. Because the typical τ_c value for water clouds is 3 to 5,²⁵ the exponential term in Eq. (2) can be approximated as 0. Using the $\eta S_c - \gamma'_{true}$ relationship, O'Connor et al.¹⁷ proposed a method to calibrate ceilometer signals assuming that the S_c for water clouds is known. S_c for water clouds can be theoretically determined; according to Pinnick et al.,²⁶ S_c at 1.06 μ m for water clouds is 18.2 sr for a wide range of droplet sizes. Because $\beta'_{true}/\beta'_{obs}$ equals to $\gamma'_{true}/\gamma'_{obs}$, where γ'_{obs} is calculated from β'_{obs} , γ'_{true} can be expressed as $C\gamma'_{obs}$ using Eq. (1). Therefore, we can estimate the correction factor using

$$C = \frac{T^2(r_0, r_b)}{2\eta S_c \gamma'_{\text{obs}}}.$$
(3)

The method is typically applied to the analog system. Because photon counting signals saturate for water clouds, as shown in Fig. 2, applying the method for CHM15k is difficult. To solve the saturation problem, we put an ND filter with 1% transmittance on the receiver lens in September 2016. Signal attenuation by the ND filter was corrected for the observed attenuated backscattering coefficient. On September 20, 2016, optically thick water clouds were observed at 1 and 2 km in height [Fig. 6(a)]. Figure 6(b) shows the time series of the calculated *C*. We assumed $T^2 = 1$ because aerosol signals below clouds are very small. η was also assumed to 1. From 19:00 to 19:30, *C* values are out of range because the signals are not fully attenuated. *C* tends to decrease with increasing the cloud height, which may be due to the change in η . The average *C* from 17:00 to 17:30 is 1.34 ± 0.1 , which is 9% smaller than the true value.

Systematic errors in the correction factor are discussed here. The term T^2 can cause systematic errors in the correction factor.²⁷ For example, when the AOT is 0.1, T^2 is 0.82. In this case, the correction factor will be ~20% smaller than the true value if the term is ignored. We calculated T^2 for the case in Fig. 6 using the lidar data. A lidar ratio of 50 sr was assumed. The calculated T^2 is 0.99 and therefore the effect of T^2 on the correction factor is negligibly small. The term η , which is another source of the systematic errors, can be roughly estimated from the theoretical calculations²⁸ but has a large uncertainty in the calibration method. If the true value of the correction factor is 1.48, η results in 0.9 for the case in Fig. 6. The problem due to η can be solved using depolarization ratios as discussed in Sec. 4.2. Jin et al.: Evaluation of ceilometer attenuated backscattering coefficients...



Fig. 6 (a) CHM15k attenuated backscattering coefficient on September 20, 2016 and (b) timeseries correction factor *C* estimated using the cloud attenuation method. The time resolution is 30 s.

4 Discussion

4.1 Improving Signal Intensity at Low Altitudes

As pointed out in Sec. 2, CHM15k signals below 150 m are noisy since the signals near the ground are very weak. Users are difficult to use the signals below 150 m for aerosol studies. The signals near the ground should be improved to make ceilometers more advanced backscatter lidar. To obtain stronger atmospheric scattering signals at the low altitudes, we conducted an experiment using an additional lens in March 2017. We placed a small planoconvex lens (25 mm diameter and 5 m focal length) on the center of the primary receiver lens. Figure 7 shows signal profiles before and after setting the additional lens. The signals remarkably increase between 50 and 100 m after the additional lens is set.

Because the composite focal length is shorter for two lenses, the receiver lens and additional lens, than the focal length of the primary receiver lens, the composite lens can collect low level atmospheric scattering light more effectively while signals from high altitudes decrease. Above 1 km, the signals collected from the composite lens are theoretically 0.1% of the signals from



Fig. 7 CHM15k signals with an additional lens on the primary receiver lens (solid line) and without an additional lens (dotted line). The signals are averaged over 2.5 min.

the primary receiver lens. Consequently, slope changes for high altitude signals due to the composite lens can be ignored. In addition, the effective area of the composite lens accounts for $\sim 4\%$ of the area of the primary receiver lens. Therefore, the decrease of signal intensity due to the composite lens has little effect on SNR at high altitudes. In practical data analysis, an overlap function is estimated after the additional lens is set. The proposed method can improve SNR at low altitudes and facilitate using ceilometer signals for monitoring aerosols near the ground. The method is simple for users since they only need to set the additional lens for the current system.

4.2 Calibration Method Using Depolarization Ratios

The multiple scattering factor η introduces a large uncertainty in the correction factor (or calibration coefficient) in the cloud attenuation method. We present a new calibration method that excludes the η uncertainty using a depolarization ratio measurement. Multiple scattering by water clouds increases the cloud depolarization ratios. Hu²⁹ reported that η and depolarization ratios are related via the following equation determined by the Monte Carlo simulation:

$$\eta = \left(\frac{1-\delta}{1+\delta}\right)^2,\tag{4}$$

where δ is the layer integrated depolarization ratio expressed as

$$\delta = \frac{\int_{r_b}^{r_t} P_{\perp}(r) \mathrm{d}r}{\int_{r_b}^{r_t} P_{\parallel}(r) \mathrm{d}r},\tag{5}$$

where P_{\perp} and P_{\parallel} are the perpendicular and parallel components of lidar signals, respectively. The depolarization channels should be calibrated beforehand by known methods (e.g., the ±45-deg calibration method³⁰). Therefore, the multiple scattering factor can be represented using the depolarization ratios. In this study, assuming that spectral dependence in multiple scattering is small for water clouds, we used δ at 532 nm instead of δ at 1064 nm because δ at 1064 nm has not been measured at this time.

We calculated ηS_c using uncalibrated lidar signals at 1064 nm. To estimate the T^2 term in Eq. (2), we used AOT data measured with a Skyradiometer, assuming that only photometer data are available as supplemental data for ceilometer calibration. Measured AOT in August 2016 was 0.09 ± 0.03 , resulting in $T^2 = 0.83$. The AOT was measured under clear sky conditions, but we assumed that the monthly averaged AOT can be used for this method. Figure 8 shows the frequency of occurrence for δ and ηS_c in August 2016. The calibration coefficient was



Fig. 8 Frequency of occurrence for effective cloud lidar ratios at 1064 nm and integrated depolarization ratios at 532 nm measured by NIES Lidar in August 2016. A theoretical curve is depicted based on Hu.²⁹ Letters A–C in red denote different types of clouds (see text).

determined by fitting the mode of the frequency distribution of ηS_c at $\delta = 0.1$ to 0.15 to the theoretical line plotted in Fig. 8. The calibration coefficient is ~3.3% smaller compared to the value determined by the procedure described in Sec. 2. The difference may come from the spectral dependency of the depolarization ratio for water clouds, incorrect AOT, and random errors. We investigated the impact of the spectral dependency of the depolarization ratio of the depolarization ratio (1064/532) from the calibration coefficient. Changing the spectral ratio of depolarization ratio (1064/532) from 0.9 to 1.1, the error is -5% (7%) when the ratio is 0.9 (1.1).

In Fig. 8, clouds around region A are well matched with the theoretical curve. Region B deviates from the theoretical curve because the cloud signals are not fully attenuated by optically thin clouds. Region C is smaller than the theoretical curve. Clouds around region C have a positive correlation between δ and ηS_c ; therefore, these clouds are considered as horizontally oriented ice,²⁹ which is difficult to discriminate from water clouds without depolarization ratios. In the analysis to estimate the calibration coefficient, clouds around regions B and C should be excluded. The calibration coefficient is determined by matching clouds around region A to the theoretical curve. The main advantage of this method is that the calibration coefficient can be determined without knowing the multiple scattering factor.

5 Conclusions

CHM15k is a very useful tool to measure aerosol profiles qualitatively, and the attenuated backscattering coefficient product can be used for aerosol studies if the overlap function and calibration coefficient are corrected by users. This study indicates that the CHM15k attenuated backscattering coefficient was smaller by a factor of 1.48 than the lidar data. The Rayleigh fitting method is desirable for recalibrating CHM15k signals because the photon counting acquisition system facilitates measuring clean air signals at high altitudes during nighttime. However, inappropriate selections of lidar ratio and initial backscattering ratio at the reference height can cause systematic errors in the correction factor. The correction factor determined using the Rayleigh fitting method was 15% smaller than that determined from the lidar data.

Recalibration using the cloud attenuation method is also possible for CHM15k if an ND filter with 1% transmittance is used to avoid signal saturation for optically thick clouds. However, calibration times are limited because the ND filter is required. In addition, the multiple scattering factor can induce a large uncertainty in the correction factor. The correction factor using the cloud attenuation method was 9% smaller than the true value. We proposed a method to estimate the correction factor using the relationship between effective cloud lidar ratios and depolarization ratios. The calibration coefficient estimated by the method was $\sim 3.3\%$ smaller compared to the true value. The method does not require multiple scattering factors in the estimation. The method cannot be applied to the calibration of the current CHM15k system, but it will be useful for future polarization-sensitive ceilometers.

The overlap function below 300 m is incomplete and should be corrected using lidar data. The signals at 180 m were 40% smaller than the lidar data. Due to the narrow FOV, CHM15k signals below 150 m are too noisy to correct. We demonstrated that the signal intensity can drastically increase using a composite lens method. The configuration of the composite lens will be optimized to improve the SNR below 50 m.

Acknowledgments

This work was supported by JSPS KAKENHI Grant Number JP16H02703.

References

- G. Martucci, C. Milroy, and C. D. O'Dowd, "Detection of cloud-base height using Jenoptik CHM15k and Vaisala CL31 ceilometers," J. Atmos. Ocean. Technol. 27, 305–318 (2010).
- M. Haeffelin et al., "Evaluation of mixing-height retrievals from automatic profiling lidars and ceilometers in view of future integrated networks in Europe," *Boundary Layer Meteorol.* 143, 49–75 (2012).

- 3. J. Peng et al., "Ceilometer-based analysis of Shanghai's boundary layer height (under rain-and fog-free conditions)," J. Atmos. Ocean. Technol. 34, 749–764 (2017).
- M. Haeffelin et al., "Radiation fog formation alerts using attenuated backscatter power from automatic lidars and ceilometers," *Atmos. Meas. Tech.* 9, 5347–5365 (2016).
- 5. M. Adam et al., "From operational ceilometer network to operational lidar network," *EPJ Web Conf.* **119**, 27007 (2016).
- 6. A. Haefele et al., "The E-PROFILE/TOPROF network of automatic lidars and ceilometers for profiling of aerosols and volcanic ash," in *Proc. of 28th Int. Laser Radar Conf.* (*ILRC28th*) (2017).
- K. Kawai et al., "Dust event in the Gobi Desert on 22–23 May 2013: transport of dust from the atmospheric boundary layer to the free troposhere by a cold front," *Sci. Online Lett. Atmos.* 11, 156–159 (2015).
- A. Shimizu et al., "Continuous observations of Asian dust and other aerosols by polarization lidars in China and Japan during ACE-Asia," J. Geophys. Res. 109, D19S17 (2004).
- K. Yumimoto et al., "Adjoint inversion modeling of Asian dust emission using lidar observations," *Atmos. Chem. Phys.* 8, 2869–2884 (2008).
- Y. Jin et al., "Ceilometer calibration for retrieval of aerosol optical properties," J. Quant. Spectrosc. Radiat. Transfer 153, 49–56 (2015).
- J. D. Klett, "Stable analytical inversion solution for processing lidar returns," *Appl. Opt.* 20(2), 211–220 (1981).
- 12. B. Heese et al., "Ceilometer lidar comparison: backscatter coefficient retrieval and signal-tonoise ratio determination," *Atmos. Meas. Tech.* **3**, 1763–1770 (2010).
- F. G. Fernald, "Analysis of atmospheric lidar observations: some comments," *Appl. Opt.* 23(5), 652–653 (1984).
- M. Wiegner and A. Gei
 ß, "Aerosol profiling with the Jenoptik ceilometer CHM15kx," *Atmos. Meas. Tech.* 5, 1953–1964 (2012).
- A. Cazorla et al., "Near-real-time processing of a ceilometer network assisted with sun-photometer data: monitoring a dust outbreak over the Iberian Peninsula," *Atmos. Chem. Phys.* 17, 11861–11876 (2017).
- M. Wiegner et al., "What is the benefit of ceilometers for aerosol remote sensing? An answer from EARLINET," *Atmos. Meas. Tech.* 7, 1979–1997 (2014).
- E. J. O'Connor, A. J. Illingworth, and R. J. Hogan, "A technique for autocalibration of cloud lidar," J. Atmos. Ocean. Technol. 21, 777–786 (2004).
- M. Hervo, Y. Poltera, and A. Haefele, "An empirical method to correct for temperaturedependent variations in the overlap function of CHM15k ceilometers," *Atmos. Meas. Tech.* 9, 2947–2959 (2016).
- 19. F. Madonna et al., "Ceilometer aerosol profiling versus Raman lidar in the frame of the INTERACT campaign of ACTRIS," *Atmos. Meas. Tech.* **8**, 2207–2223 (2015).
- M. Wiegner and J. Gasteiger, "Correction of water vapor absorption for aerosol remote sensing with ceilometers," *Atmos. Meas. Tech.* 8, 3971–3984 (2015).
- A. Shimizu et al., "Evolution of a lidar network for tropospheric aerosol detection in East Asia," *Opt. Eng.* 56(3), 031219 (2016).
- S. Kotthaus et al., "Recommendations for processing atmospheric attenuated backscatter profiles from Vaisala CL31 ceilometers," *Atmos. Meas. Tech.* 9, 3769–3791 (2016).
- M. A. Vaughan et al., "On the spectral dependence of backscatter from cirrus clouds: assessing CALIOP's 1064 nm calibration assumptions using cloud physics lidar measurements," *J. Geophys. Res.* 115, D14206 (2010).
- 24. C. M. R. Platt, "Lidar and radiometric observations of cirrus clouds," *J. Atmos. Sci.* 30, 1191–1204 (1973).
- M. D. King et al., "Spatial and temporal distribution of clouds observed by MODIS onboard the Terra and Aqua Satellites," *IEEE Trans. Geosci. Remote Sens.* 51(7), 3826–3852 (2013).
- R. G. Pinnick et al., "Backscatter and extinction in water clouds," J. Geophys. Res. 88(C11), 6787–6796 (1983).
- Y. Wu et al., "Calibration of the 1064 nm lidar channel using water phase and cirrus clouds," *Appl. Opt.* 50(21), 3987–3999 (2011).

- E. W. Eloranta, "Practical model for the calculation of multiply scattered lidar returns," *Appl. Opt.* 37(12), 2464–2472 (1998).
- 29. Y. Hu, "Depolarization ratio-effective lidar ratio relation: theoretical basis for space lidar cloud phase discrimination," *Geophys. Res. Lett.* **34**, L11812 (2007).
- V. Freudenthaler et al., "Depolarization ratio profiling at several wavelengths in pure Saharan dust during SAMUM 2006," *Tellus* 61, 165–179 (2009).

Yoshitaka Jin is a research associate of the NIES, Japan. He received his DSc degree from Nagoya University in 2014. Since 2009, he has conducted research on aerosol optical properties with active remote sensing. His current research covers the development of high-spectral-resolution lidar methods and applications of ceilometer for aerosol measurement. He is a member of the Japan Association of Aerosol Science and Technology and the Meteorological Society of Japan.

Nobuo Sugimoto joined NIES in 1979. He is a fellow of NIES. He received his DSc degree in laser spectroscopy from the University of Tokyo in 1985. He developed various lidar methods at NIES. He conducted the Retroreflector in Space (RIS) experiment in the ADEOS program in 1996. He organized the ground-based lidar network, the Asian Dust and aerosol lidar observation Network (AD-Net). Currently, he is a member of the WMO GAW Aerosol Scientific Advisory Group.

Atsushi Shimizu is a senior researcher at NIES, Japan. He received his BS degree from the Science Faculty, Kyoto University in 1994 and his MS and PhD degrees in atmospheric physics from the Graduate School of Science, Kyoto University in 1996 and 1999, respectively. Currently, he is involved in studies of aerosol climatology and impact of particles on the environment.

Tomoaki Nishizawa received his DSc degree in geophysics from Tohoku University, Japan. He has worked for National Institute for Environmental Studies (NIES), Japan since 2007 and currently, he is a head of advanced remote sensing section of NIES. He has developed lidars and aerosol retrieval algorithms using lidar data. Currently, he manages the Asian Dust and aerosol lidar observation Network (AD-Net) and he is a member of the science team of the Earth observation mission EarthCARE.

Kenji Kai is a designated professor of Ibaraki University and emeritus professor of Nagoya University. His major is meteorology and climatology. He studies the Asian dust emitted from deserts in Mongolia and China by lidar and Himawari-8 DUST RGB.

Kei Kawai is a research fellow of Japan Society for the Promotion of Science at Nagoya University, Japan. He received his DSc degree in atmospheric science from Nagoya University in 2018. His research interests include the development of dust storms over the Gobi Desert. He installed two ceilometers over the desert in Mongolia in 2013 and 2017. He analyzes their observation data in combination with the observation data of AD-Net lidars in Mongolia.

Akihiro Yamazaki received his PhD in information and computer engineering from Kanazawa Institute of Technology in 1998. He analyzed airborne POLDER and ADEOS/POLDER reflectance and polarization image in doctoral course. He joined Meteorological Research Institute (MRI) in 1998. He specializes in atmospheric radiation and ground observation, engaged in observational research on atmospheric radiation. Currently, he is a senior researcher in MRI.

Motoki Sakurai is a representative of IR System Co., Ltd., which is an authorized distributor for laser sensing products from G. Lufft GmbH.

Holger Wille joined G. Lufft in 2014 with the responsibility for optical products. From 2005 to 2014, he worked for Jenoptik in R&D and later on as product manager for laser optical products. He has a diploma degree in physics from the Free University of Berlin and studied product management at the technical college Schmalkalden. In his diploma work, he worked on white light lidar and stratospheric lidar measurements.